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Article

# A New Algorithmic Method for Reverse Osmosis Desalination Analysis: Design Optimization and Parametric Study

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**Abstract:** Population growth, coupled with industrial and agricultural development, has resulted in increased demand for freshwater supply. For countries with scarce water resources, desalination constitutes the only viable solution to this problem. Reverse osmosis (RO) technology has become widely used as the membrane materials have been upgraded and the costs have been reduced. Nowadays, RO is the foremost technology for desalting different types of water such as seawater, brackish, and tap water. However, its design is critical since many parameters are involved in obtaining a good design. The high use of RO encourages the establishment of a procedure that facilitates the design process and helps in obtaining an optimum-performance RO desalination system. This paper presents a procedure divided into three parts: (1) classifying RO parameters; (2) choosing the parameters in a certain order and doing the calculation process through 12 steps; and (3) then inserting the selected parameters and the obtained values on RO System Analysis (ROSA) software. These points are then summarized by creating an algorithmic chart to follow during the design phase of the RO system using ROSA. An example on the proposed list is then taken to validate the procedure, and a comparison is conducted on choosing different values for the parameters. The results of this comparative study show that choosing different parameters affects the RO system productivity. Additionally, every design has a specific optimum set of parameters, which depends upon the design constraints set by the user.

**Keywords:** reverse osmosis; optimization design; algorithmic flow chart; comparison; classification

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## 1. Introduction

In many countries, water resource scarcity, coupled with agricultural and industrial development, has resulted in an increasing demand for freshwater [1,2], leading to focusing on advanced water treatment solutions wastewater treatment, water conservation, and smart irrigation systems [3,4]. Diverse methods have been developed to obtain efficient water desalination systems which provide high production with less cost [5].

Reverse osmosis (RO) is one of these technologies; it is a pressure-driven membrane-based desalination method [6,7]. Feedwater (FW) passes through the semi-permeable membrane, where part of the water comes out from the pores of the membrane as purified water called “permeate”, while the rest of the flow is rejected as a concentrated solution called “brine” [8,9]. On the other side, “retentate” is the concentrated solution that re-

mains on the feed side of the membrane after filtration. Nowadays, RO is the leading desalination technology both on small and large scales with a high growth of market share [10], where recently, it has become the primary option for producing freshwater not just for drinking but for domestic use as well [11]. On a small scale, RO is being highly used in domestic water purification and water desalination powered by renewable energy [12,13], where clean energy resources are highly valuable. This high demand for RO has encouraged researchers to look for a good design [14,15] by either running the desalination on renewable energy, specifically Photovoltaic (PV) which provides agreeable results [16,17], or intensifying the performance of the desalination process by using developed materials such as nanoparticles [18,19]. With all the improvements and studies that are carried out to reach a good system, designing an optimum RO desalination system is still very critical, where an optimum design does not just deliver high production but aims for the required production with the least cost. Many software packages such as ROSA, Winflow, and IMS have been developed and implemented in designing RO systems to help the user. However, some steps should be followed to reach a good design where the software does just the calculation process and gives warnings about design errors, such as the feed pressure or recovery ratio exceeding a specific amount of the membrane's limits, since different membranes have different effects on the water production [20,21]. So, the key point is in setting the parameters that satisfy the design constraints which are defined by the designer. These constraints should be chosen on specific criteria that will be presented in the following sections.

## 2. Research Objectives

The objective of this paper is to list the main steps for designing an optimized RO system that meets the users' needs for a small RO plant. These steps are similar to the catalogue given by Dow Chemical Company and Desal Supplies [22]. However, they are summarized and presented here in an algorithmic organized form. This organization is mandatory for designers, making a clear roadmap unmissable for obtaining satisfying optimizations. For instance, an important need for this roadmap becomes evident with the fact that small changes in the designer's choices at the beginning of the optimization procedure can lead to different optimal sets of parameters, and these optimums may not necessarily be the most suitable for the situation at hand.

To facilitate the selection process, parameters are classified into four main categories giving a certain priority for these parameters to be treated according to the roadmap. Then, a case study is provided following these steps to validate the proposed algorithmic chart. Furthermore, a comparison is made of the main parameters to study the impact of each parameter on the design. The novelty of this paper encompasses multiple dimensions:

- Introduction of an algorithmic flowchart to assist in parameter selection, elaborated upon in Section 3.4.
- Validation of both the technical report and proposed algorithm through an extensive case study employing ROSA software version 6.1.3. This validation fills a significant gap in the literature, as no published article has previously verified this procedure or presented parameter classification in such a simplified manner.
- Conducting a comparative analysis of parameter variations, systematically isolating one parameter at a time while examining the influence of others. This approach sheds light on the intricate relationships between variables in the design process.

## 3. RO System Design

ROSA (Reverse Osmosis System Analysis) is a simulation software used to help in generating the operational parameters such as the feed pressure of the plants. ROSA is divided into six categories: Project information, Feedwater data, Scaling information, System configuration, Report, and Cost analysis.

Despite the comprehensive categories in the software, the design starts before using the ROSA software. Indeed, the design has a preliminary step called “design background”, in which the designer lists some of the available technologies in the market and sets some of his requirements. Afterwards, the main design tasks start with the aim to define the best values for all parameters which provide the required flow rate at a lower cost.

### 3.1. Design Background

This part contains steps that should be revised before starting the design to provide better performance and minimum cost [22,23].

1. Listing up-to-date membrane models.
2. Focusing on spiral-wound membranes per market developments.
3. Setting a reasonable safety margin under the following considerations:
  - The recommended pressure of the pump should be higher than the feed pressure by 10% of the Net Driving Pressure (NDP), taking the entry losses into account.
  - A safety margin of about 10% is taken for system design for fouling rate prediction.
  - A 10% increase in the number of membrane elements is taken as a contingency procedure.
  - The feed pressure should be specified for the assumed flow with 90% of the calculated membrane elements.
4. Permeate flow rate at the concentrate end is usually lower than the flow rate of the primary elements. Good design tends to balance the flow rate through the elements in all positions. This can be carried out by the following:
  - Boosting the feed pressure.
  - Hybridizing the system using different types of membranes (BW, SW, Nano... defined in Table 1) [24].
  - Using energy recovery devices (ERDs) to recover the lost pressure from the brine. ERDs are used to minimize specific energy consumption (SEC) [25].
  - Applying a permeate backpressure only to the first stage of a two-stage system.

This balancing can be set after the design has been analyzed with ROSA, but in the present stage, the designer is expected to set the level of his requirements in terms of balancing.

**Table 1.** Design parameters of RO system.

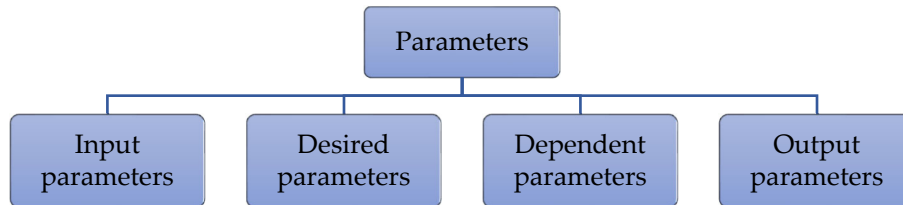
#	Parameter	Symbol (Unit)	Physical Meaning
1	Brackish water	BW	It is important to select the best membranes for each type of water, according to the salinity. For example, well waters of low salinity involve less treatment than SW when used for drinking. Therefore, membranes and numbers of stages need to be defined for each specific case.
	Sea water	SW	
	Tap water	TW	
2	Feed flow rate	$Q$ (m <sup>3</sup> /h)	The flow of the feed water depends on the source flow.
3	Feed pressure	$P_F$ (Pa)	The pressure of the feed water, depends on the source.
4	Temperature	$T$ (°C)	The T of the FW.
5	Flow Factor	FF	Flow Factor or Fouling Factor is a value used in ROSA to compute the feed pressure of the system. It depends on the type of FW; for instance, FF of BW is 0.95.
6	Flux average flux	(L/m <sup>2</sup> ·h)	Flux defines the rate at which water permeates a RO membrane.
7	Salt flux	(L/m <sup>2</sup> ·h)	The quantity of TDS that has gone through a particular area of membrane in a specific time.
8	Membrane area	$A$ (m <sup>2</sup> )	The area of the membrane affects the number of elements membrane to reach the permeate of specific salinity.

9	Membrane type		Membranes are used to remove saline FW from freshwater. The membrane type depends on the type of FW.
10	Number of elements	$N_E$	The total number of membrane elements is determined by dividing the design permeate flow.
11	Number of elements in the pressure vessel	$N_{E/V}$	When the total number of elements ( $N_E$ ) is calculated, the total number of vessels ( $N_V$ ) in the system can be obtained by dividing $N_E$ by the number of elements in the pressure vessel.
12	Number of stages	$N_s$	A stage in RO is a line, where the FW comes into the RO system as one stream and leaves as either a permeate water or concentrate. In the case of more than one stage, the concentration coming out from the 1st stage becomes the FW to the 2nd stage.
13	Osmotic pressure	$P$ (Pa)	The change in water level is due to the addition of a particular quantity of salt.
14	Permeate flow	$Q_p$ (m <sup>3</sup> /h)	Is proportional to the Net Driving Pressure (NDP) differential across the membrane, the water flowing over the membrane.
15	Permeate salinity	(ppm)	The salt concentration in the permeate.
16	pH		Amount of acidity/basicity of the water.
17	Pressure exchanger	PX	It is used to transfer the energy of pressure from a fluid stream with high pressure to another fluid stream with low pressure
18	Recovery ratio	RR (%)	The ratio of permeate water volume to the FW volume.
19	Retentate flow Concentrate flow Brine flow	$Q_R$ (m <sup>3</sup> /h)	Is defined as the rate of flow which has not passed through the RO membrane and comes out from the RO system with rejected ions.
20	Retentate TDS	(ppm)	The salt concentration in the retentate.
21	Staging ratio	R	The relation of the number of pressure vessels in succeeding stages.
22	Salinity	(ppm)	The concentration of salt, for example, sodium in water.
23	Salt passage		Salt passage over the membrane wall layer is defined as "salt passage".
24	Salt rejection	SR	Lack of passage over the membrane wall layer is defined as "salt rejection".
25	Specific energy	SE (kWh/m <sup>3</sup> )	The measure of the energy usage in desalination processes, and relies on various water quality, design, operational and factors.
26	System recovery	Y (%)	The system recovery is the amount of recovered water from the FW, which depends on the type of FW and the number of stages and element vessels.
27	Total dissolved solids	TDS (mg/L)	TDS represents the sum of all organic and inorganic substances dissolved in a liquid.
28	High-pressure brine	HP B	Brine leaves the system with high pressure, to recover the high pressure that would be rather lost. A pressure EX is employed so that the high pressure is transferred to the FW.
29	Low-pressure FW	LP FW	FW has low pressure, so part of this FW passes through the pressure EX to recover the high pressure from the HP brine.
30	Low-pressure brine	LP B	After transferring the high pressure, the brine leaves the pressure EX with low pressure.
31	High-pressure FW	HP FW	FW leaves the pressure EX with higher pressure and then passes through a pump to reach the required pressure.

### 3.2. Classification of Design Parameters

A complete RO system design consists of setting a long list of parameters which are given in Table 1. Usually, setting these parameters is successful after several iterations. The large number of parameters together with the relatively complicated iteration procedure make the RO system design a hazardous task which can lead to completely different optimums just by slightly varying a parameter at the beginning of the procedure. This is why it is very important to have a clear roadmap before starting the design procedure. Thus, Table 1 presents the key terminologies used in designing an RO plant, along with their definitions, symbols, and units.

In this section, a method is given to help in managing the parameters of the RO desalination system to properly start the designing procedure. Thus, in the first step, the parameters are classified into four categories as shown in Figure 1, and they differ from one application to another:



**Figure 1.** Classification of RO parameters.

- Input parameters: These parameters constitute the design; they are mainly design parameters such as feedwater type, TDS, T, pH, flow factor, and pump efficiency.
- Desired parameters: These are defined according to the user's interest such as permeate salinity, permeate output flow rate, or feed water pressure. Desired parameters are the first to be defined, and then, the other parameters are obtained.
- Dependent parameters: Parameters that depend on the input and desired parameters such as membrane area and SR that depend on the chosen feed water type, recovery ratio, permeate flux that depends on both water type and membrane, and several elements that depend on the size of the RO plant. Similarly, the pressure vessels and number of stages depend on the number of elements.
- Output parameters: These are the values obtained from the software such as feedwater pressure, permeate salinity, average flux, system recovery, and specific energy.

It is very important to understand that some parameters may change from one category to another according to the design. Nevertheless, these categories affect each other, for example, if the FW pressure is considered as an input/desired parameter, then permeate flow cannot be also an input/desired parameter; it should be calculated (output parameter) and vice versa. Similarly, for the permeate flux and feed flow, if one is input, the other cannot be also input. This kind of interaction of parameters makes the optimization task a little bit complicated, and a predefined roadmap is required to simplify the task.

### 3.3. Twelve Steps for a Good Design with a Case Study

Now, after defining the parameters and before using the ROSA software, there should be some calculations that help in choosing the optimal design criteria. Due to the crucial need to shift towards cleaner energy processes [26–28] and the increasingly high energy demand [29–32], integrating renewable energy sources into a desalination plant has become essential. Figure 2 shows a schematic diagram of the case study that will be taken, which is a PVRO plant that consists of the following process units:

- An electrical source could be a solar PV system, wind, or other sources.
- Seawater pretreatment system.
- RO desalination plant.
- Post-treatment plant, potable water storage, and distribution.
- PX to save energy, which is being taken highly into consideration lately [33].

Furthermore, as depicted in Figure 2, the FW is divided into two flows: one flow passes directly through a high-pressure pump (HPP) that elevates the flow directly to the required pressure, and the second flow passes through a pressure exchanger (PX). It is evident that the brine exiting the RO modules possesses a high-pressure (HP) flow. This brine is directed through the PX to transfer its HP to the feed water, which constitutes the alternate flow. Conversely, the FW enters the PX at a lower pressure and exits at a higher pressure referred to as HP1. Subsequently, a booster pump is employed to elevate the

pressure of the flow to match that of the feed water before entering the RO modules. To enhance the system’s environmental friendliness [29], a proposal has been put forward to implement a solar power system to operate the booster and high-pressure pump.

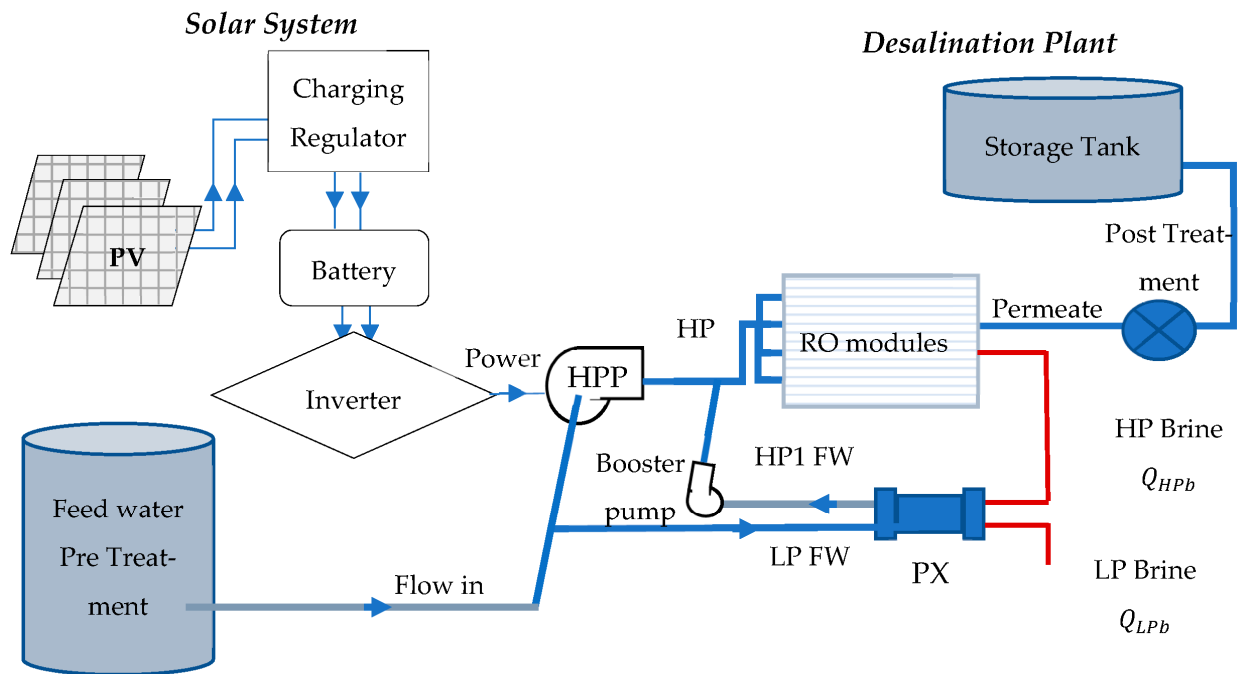


Figure 2. Schematic diagram of the PVRO system.

Step 1: Feed water considerations [22,23]

The design of the membrane system depends on accessible feed water. Hence, the system design information shall follow the analysis of feed water. In our case,

- (A) Choosing the Mediterranean seawater with conventional pretreatment with SDI < 5 and specifying its chemical composition is presented in Table 2 [24].
- (B) Specifying overall feed water concentration in TDS (ppm) is shown in Table 3.

Table 2. Mediterranean seawater’s major ion composition.

Seawater Constituent	Concentration (mg/L)	Seawater Constituent	Concentration (mg/L)
<b>Cations</b>		<b>Anions</b>	
Calcium (Ca <sup>2+</sup> )	450	Chloride (Cl <sup>-</sup> )	22,100
Magnesium (Mg <sup>2+</sup> )	1450	Sulfate (SO <sub>4</sub> <sup>2-</sup> )	3410
Sodium (Na <sup>+</sup> )	12,500	Boron (B)	4–5
Potassium (K <sup>+</sup> )	450	Bicarbonate (HCO <sub>3</sub> <sup>-</sup> )	160
<b>Total Cations</b>	<b>14,850</b>	<b>Total Anions</b>	<b>25,670</b>
Boron (B)			4–5
<b>Total dissolved solids (TDS)</b>			<b>40,525</b>

Table 3. Input and desired parameters.

Component/Parameter	Specifications/Design Criteria
Feedwater	
Design flow rate	1000,000 m <sup>3</sup> /day
TDS	38,468 mg/L
T	25 °C
Intake type	open-intake

Step 2: Required permeate flow.

Permeate flow ( $Q_p$ ) is the desired parameter; the system is designed accordingly as in Table 4.

**Table 4.** Required quality for the desired permeate.

Criteria	Level	
Chloride Concentration	70 ppm (Max)	
Salinity	TDS	400 ppm (Max)
	Na	60 ppm (Max)
	Boron	0.3 ppm (Max)
pH	7.5–8.5 max	

Step 3: Select the configuration flow and number of passes.

The flow configuration for a membrane system is one or two passes through the system. It is estimated based on experience. Usually, the SWRO (Sea Water RO) system is designed for continuous operation. In our case, a double pass is selected where the 2nd pass is two-staged. After defining the desired parameters, other parameters are classified directly as in Table 5.

**Table 5.** Classification of parameters.

Desired Parameters	Permeate Salinity
	Permeate Flow Rate
Input parameters	FW type
	FW TDS
	T
	Flow Factor
	FW pressure
Dependent parameters	Membrane type
	Salt rejection
Output parameters	FW pressure
	FW flow rate
	Average flux
	System recovery
	Specific energy

Step 4: Select the membrane.

The membrane, which is a dependent parameter, is selected according to four main criteria:

1. System capacity (element diameter, element length)
2. Feedwater TDS:
  - <1000 mg/L: NF270, NF90, XLE, LE, LP, TW30, BW30
  - 1000–10,000 mg/L: BW30
  - 10,000–30,000 mg/L: SW30XLE, SW30ULE
  - 30,000–50,000 mg/L: SW30HR, SW30XHR, SW30HRLE, SW30XLE
3. Feedwater fouling potential (feed spacer thickness, fouling resistance)
4. Required product water quality and energy requirements (a salt passage, feed pressure)

As mentioned before, each membrane has specifications, and the user may choose the membrane based on the output interest. The specifications of each membrane can be found in ROSA software. As an example, Table 6 shows five types of membranes.



**Table 6.** Choosing the SWRO membrane (Dow).

Membrane Type	Membrane Main Characterization	Permeate Flow (m <sup>3</sup> /day)	Salt Rejection (%)	Active Area (m <sup>2</sup> )
DOW-SW 30HRLE-440i	SW—high rejection	31	99.8	41
DOW-SW 30ULE-440i	SW—low energy	45.4	99.7	41
DOW-SW 30HRLE-370/34i	SW—fouling resistant	25.3	99.8	34
DOW-HRLE-440i	BW—low energy	48	99.5	41
DOW-BW 30HR-440i	BW—high rejection	48	99.7	41

As noticed, different types of membranes can be selected; in the comparison section, a study using ROSA software is conducted to investigate the impact and performance of each one.

Step 5: Calculate the required units of SWRO.

The calculation of RO units is classified based on permeate output, availability (number of operation hours in a year after reducing the downtime), and redundancy (the spare production ability of RO operation system). Table 7 is an example of our case to show the calculation procedure.

**Table 7.** Calculation units of SWRO.

Plant daily capacity	1,000,000 m <sup>3</sup> /day
Plant yearly capacity	$1,000,000 \times 365 = 365,000,000$ m <sup>3</sup> /yr
Number of hours in a year	$365 \times 24 = 8760$ h
Plant average flow	$365,000,000/8760 = 41,666.7$ m <sup>3</sup> /h
Operation hours per year, 8000 h	downtime for maintenance, 760 h
Plant flow with an availability factor	$365,000,000/8000 = 45,625$ m <sup>3</sup> /h
Plant flow 10% availability and redundancy factor	$45,625 \times 1.1 = 50,187.5$ m <sup>3</sup> /h

Usually, each SWRO train is designed to produce (10–20%) of the total permeate capacity. However, our designed plant is moderate, so it is sufficient to have 10 SWRO trains in the first pass and 5 BWRO trains in the second pass, where each train would have a capacity of 10,000 m<sup>3</sup>/day. This capacity is feasible and considered by various studies, which is why 10 SWRO is chosen [33]. The capacity of each train varies from one study to another; some studies set a capacity of 20,000 m<sup>3</sup>/day [34], others 5000 m<sup>3</sup>/day [35] or the commonly used capacity of 10,000 m<sup>3</sup>/day [36], which is chosen in our design. Now, the number of trains does not just affect the capacity of each train; however, it also affects design, where increasing the number of trains would result in decreasing pressure vessels, and decreasing the number of trains would result in increasing the number of pressure vessels in each pass.

Step 6: Select membrane average design flux.

RO systems are designed for system recovery and specific permeate flow rates (g/d or L/h). Usually, the design flux (L/m<sup>2</sup>h) is selected based on expertise, pilot data, or the typical design fluxes recommended by expert professionals. Once the SWRO unit size is calculated, the number of membrane elements can be obtained based on the selected average flux. Permeate flux and recovery ratios depend on the feed source type as shown in Table 8 where, for each feedwater type, there is an optimum range of flux and recovery ratio (R).

**Table 8.** Selection of the design average permeate flux and RO recovery reproduced from [22,23].

No	Feed Type	Permeate Flux (L/m <sup>2</sup> ·h)	Recovery Ratio R (%)
1	SW from open intake	10–15	40–50
2	SW from beach wells	10–17	40–50
3	BW	20–29	75–85
4	TW, low salinity well water	24–29	80–88

Since the selected FW is an open intake, the average permeate flux denoted by  $f$  is between 10 and 15 L/m<sup>2</sup>h with an average recovery of 40% to 50% as given in Table 8.

Step 7: Calculate the number of membrane elements needed.

The total number of membrane elements is determined by dividing the design permeate flow rate  $Q_p$  (m<sup>3</sup>/h) by the design flux  $f$  (m<sup>3</sup>/m<sup>2</sup>·h) and the surface area  $A$  (m<sup>2</sup>) of the membrane, as in the equation below, which gives 9756 elements:

$$N_E = \frac{Q_p}{f \times A} \tag{1}$$

Step 8: Calculate the number of pressure vessel arrays needed.

When the total number of elements ( $N_E$ ) is calculated, the total number of vessels ( $N_v$ ) in the system can be obtained by dividing  $N_E$  by the number of elements in the pressure vessel ( $N_{E/V}$ ) as shown in Equation (2), which gives 1394 pressure vessels.

$$N_v = \frac{N_E}{N_{E/V}} \tag{2}$$

Then, since we have 10 trains in the 1st pass, each train contains around 140 pressure vessels.

Step 9: Select the number of stages.

The number of stages defines the number of pressure vessels in series and depends on system recovery as shown in Table 9. In other words, for each range of system recovery, there is an optimum number of elements and stages.

**Table 9.** Number of stages of SWRO systems [30].

System Recovery (%)	# of Serial Element Positions	# of Stages (6-Element Vessels)	# of Stages (7-Element Vessels)	# of Stages (8-Element Vessels)
35–40	6	1	1	---
45	7–12	2	1	1
50	8–12	2	2	1
55–60	12–14	2	2	---

The higher the system recovery, the lower the feed water quality obtained. In our case, a 45% system recovery is chosen so the number of stages is 1 and 7 elements per vessel.

Step 10: Select the staging ratio.

The relation of the number of pressure vessels in succeeding stages is called the staging ratio  $R$  [21,37], which is denoted by Equation (3):

$$R = \frac{Nv(i)}{Nv(i+1)} \tag{3}$$

For ideal staging, each stage functions at the same system recovery ( $Y$ ). The system recovery  $Y$  depends on the type of the FW and the number of elements and stages. The staging ratio of a system can be calculated by Equation (4):

$$R = \left( \frac{1}{1 - y} \right)^{\frac{1}{n}} \quad (4)$$

where  $R$  is the staging ratio,  $n$  is the number of stages, and  $y$  is the system recovery. Thus, in our study, for  $n = 2$  and  $y = 45\%$ ,  $R = 1.34$ . Accordingly, the number of pressure vessels for  $n$  stages is calculated. The  $N_{V1}$  can be obtained using the following Equations (5) and (6):

$$\text{– first stage } N_{V1} = \frac{N_V}{1 + R^{-1}} \quad (5)$$

$$\text{– second stage } N_{V2} = \frac{N_V(1)}{R} \quad (6)$$

That means  $N_{V1} = 80$  and  $N_{V2} = 60$ .

Step 11: Balance the permeate flow rate.

The permeate flow rate of the tail elements (tail elements are placed at the concentrate end) is located below the flow rate of the lead elements, which drops the pressure of the feed/brine and increases the osmotic pressure from the feed to the concentrate. This may result in a high ratio of the permeate flow rate, under specific conditions, where the ratio of the permeate flow of the tail and lead elements may have high system recovery, high feed salinity, low-pressure membranes, or high-water temperature.

Then, an optimum design aims to equalize or balance the flux of elements in the various postures. Thus, balancing the permeate flow is a crucial step to avoid the excessive flux of lead elements, decrease the number of elements and fouling rate of the 1st stage, use the tail end membranes optimally, and enhance product water quality.

Balancing the permeate is carried out after finishing all the above ten steps through enhancing the feed pressure between stages, putting a permeate backpressure for the first stage of a two-stage system, proposing a hybrid system of low water permeability membranes in the first stage, then a high-water permeability membrane in the last stage.

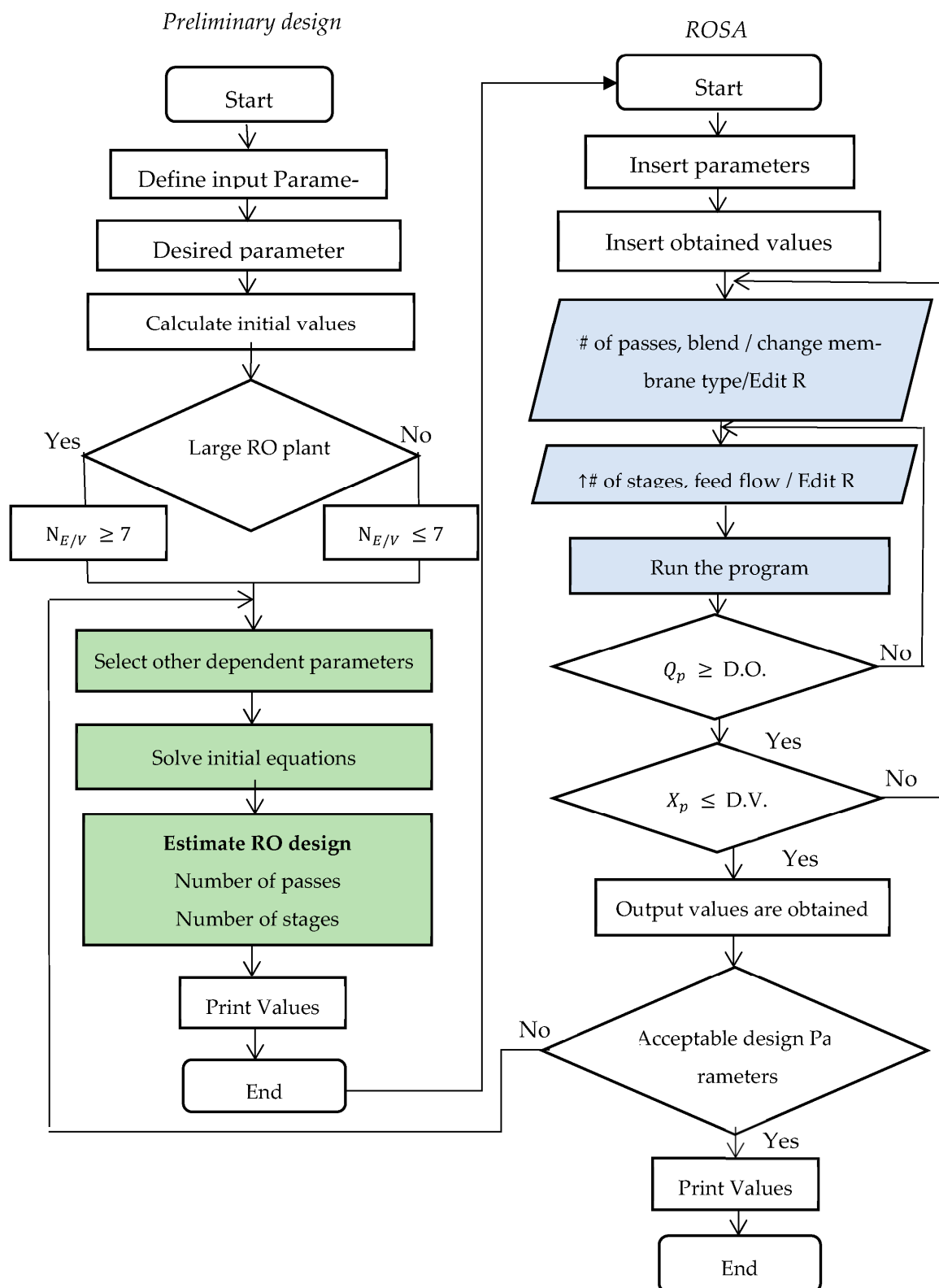
Step 12: Reverse Osmosis System Analysis (ROSA).

Once the calculations and selection of the parameters are completed, the software can be used to finalize, optimize, and check the validity of the design. Output parameters give details for selecting the feed pump, water recovery available, permeate quality, and blending potential. The ROSA software is an advanced RO design program that predicts the performance of membranes in user-specified systems [38]. ROSA 9.1 software is used to determine the performance of the membrane and the desalination energy requirements. It has six input pages, each tab on the bottom of the screen. The six tabs are Project Info, Feed Data, Scaling, System Configuration, Cost Analysis, and Report.

From the above steps, it is noticed that the values for dependent parameters should be chosen from a certain range to accomplish the design. For each value of these parameters, there could exist a different design output and a different optimum. For example, if the chosen area of the membrane is 40.9 m<sup>2</sup>, then there exist 5 different membranes that can be chosen. Each choice can lead to a different output, i.e., a different set of optimization parameters. Similarly, the other dependent parameters (recovery ratio and salinity) have a range of possible values following a specific chosen input parameter. Therefore, a comparison study is conducted to check how the change of a parameter affects the results.

### 3.4. Flow Chart

Figure 3 shows a flowchart that lists the steps required to design RO and edit according to the results obtained. The user can replace the desired value with the desired parameter chosen at the beginning and go through the flow chart.



**Figure 3.** Flow chart for the RO system design.

The constraints in our case are placed on salinity, which should be less than the desired value (D.V.), and flow rate, which should be greater than the desired output (D.O.). The flow chart is separated into the preliminary design (calculation process) and ROSA design, which requires entering the values into the software. However, the main part of the analysis is after obtaining the results, where, in most cases, the design is edited to

reach the desired output value. The editing process depends on the results obtained, and it is classified into two sections:

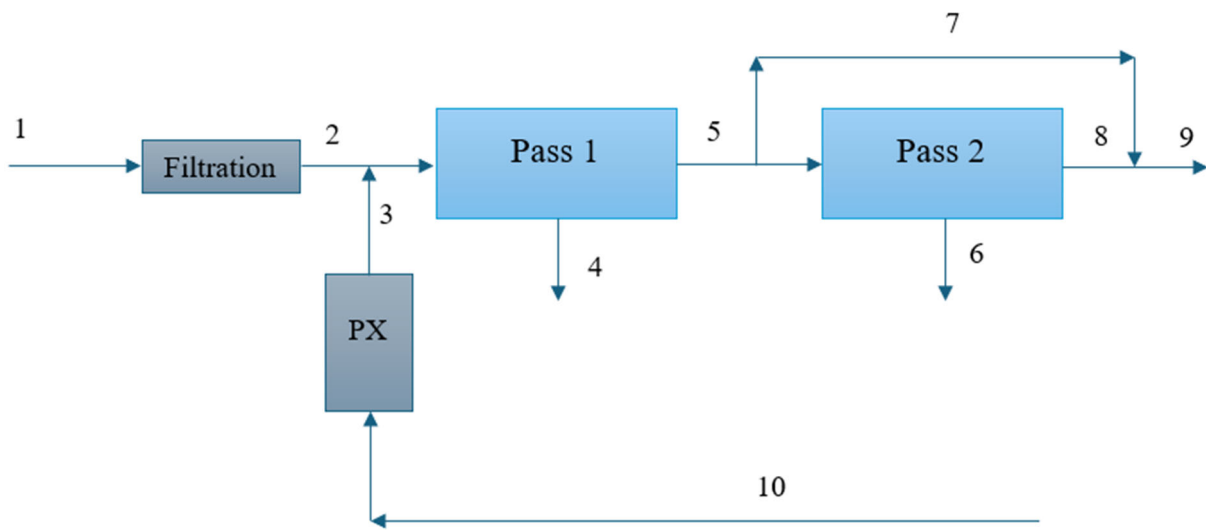
- Editing is performed on the dependent parameters so they can be changed within the given range which is indicated in the blue boxes in Figure 3. For example, if the results show high salinity, then membrane type or blending quantity can be changed, and the number of passes or stages within the pass can be increased. If the results show low output, then an increase in feed flow rate, number of stages, or recovery ratio can be made.
- Editing is performed mainly on the design input parameters which are indicated in green boxes in Figure 3. For example, changing the temperature or feed water type membrane area requires recalculations before using the software and determining the dependent parameters.

### 3.5. Reducing Energy Consumption

Optimizing energy consumption in RO processes involves a comprehensive approach that includes three main points: selecting high-efficiency pumps and membranes, configuring them optimally, and implementing advanced control and monitoring systems. When it comes to predictive algorithms detecting and predicting membrane fouling or other system failures in RO desalination plants, it is a promising avenue for enhancing efficiency. Optimizing the selection and configuration of pumps entails using high-efficiency variable speed pumps to adjust flow rate and pressure in real time, reducing energy consumption by operating only at necessary capacity. Integrating ERDs like pressure exchangers or turbochargers also helps by recovering energy from the high-pressure brine stream and transferring it to the incoming feed water [33]. Advanced pump materials and designs, such as those made from composites and featuring magnetic bearings, reduce frictional losses and improve efficiency. Proper pump sizing is crucial, as correctly sized pumps prevent inefficiencies associated with both oversized and undersized pumps. In large RO plants, employing multiple smaller pumps in parallel instead of a single large pump enhances efficiency and flexibility, allowing for energy savings during low demand periods. The selection and configuration of membranes are crucial for reducing energy consumption, as optimal design can provide high output with the same input energy [16]. Additionally, implementing advanced process control and monitoring systems is important. Using sophisticated control systems and performance monitoring, along with predictive maintenance leveraging machine learning and artificial intelligence, can further reduce energy consumption and enhance overall efficiency [20,29].

## 4. Results and Discussion

The performance of the SWRO system is studied using the calculated design configurations, and the values are inserted in ROSA. The results are shown in Figure 4, where numbers without the letter “A” represent the flow in and out of the first pass. When the letter “A” is present, it indicates the flow for the second pass. The number 1 is the flow that is coming from the FW and is applied to filtration, 2 is the flow coming from the FW after being filtered, and 3 is the flow that passes through the PX to recuperate the pressure loss. The number 5 is the product of the first cycle, but it still has high TDS, so it is applied to another desalination process. The numbers 4 and 6 are the brine from the first and second passes. The number 7 is a part of the product that has around 140 mg/L TDS and will be mixed with the final product 8, which has a TDS of 3.4 mg/L, and 9 is the mixture between 7 and 8 with the required TDS of 100.3 mg/L. The number 10 is the retentate that is used again in the PX.



Raw Water TDS	38256 mg/L	% System Recovery (8A/1)	45.79%
Water Classification	Seawater with Conventional pretreatment, SDI < 5	Flow Factor (Pass 1)	1.00
Feed T	25 °C	Flow Factor (Pass 2)	0.85
<b>Pass #</b>	<b>Pass 1</b>	<b>Pass 2</b>	
<b>Stage #</b>	<b>1</b>	<b>1</b>	<b>2</b>
Element Type	SW30XHR-440i	BW30HR-440i	BW30HR-440i
Pressure Vessel per Stage	1394	190	60
Element per Pressure Vessel	7	7	7
Total Number of Elements	9758	1330	420
Pass average Flux	14.02 lmh	22.55 lmh	
Stage Average Flux	14.02 lmh	23.91 lmh	18.23 lmh
Permeate Back Pressure	0.00 bar	0.00 bar	0.00 bar
Booster Pressure	0.00 bar	0.00 bar	0.00 bar
Chemical Dose	-	-	
Energy Consumption	4.07 kWh/m <sup>2</sup>	0.09 kWh/m <sup>2</sup>	

Pass 1				Pass 2			
Stream #	Flow (m <sup>3</sup> /h)	Pressure (bar)	TDS (mg/L)	Stream #	Flow (m <sup>3</sup> /h)	Pressure (bar)	TDS (mg/L)
1	11,821	0.00	38,256	6	180	5.56	1382.6
2	12,000	0.00	37,705	7	3800	0.00	141.8
3	12,000	60.15	37,705	8	1613	-	3.4
4	6407	58.67	70,493	9	5413	0.00	100.3
5	5593	-	142	10	180	5.56	1382.6
5/2	Recovery (%)	46.61		9/5	Recovery (%)	89.96	

Figure 4. Flow diagram of the process in the first and second stages.

From Figure 4, it is noticed that the specific energy is 4.07 kWh/m<sup>3</sup> before applying the PX. A permeate flow rate of 3800 m<sup>3</sup>/h in the first pass is blended with the permeate from the second pass to reach the final permeate of 5410 m<sup>3</sup>/h. The feedwater in pass 1 is assumed to be 12,000 m<sup>3</sup>/h at a recovery of 46.6%; in pass 2, the recovery is set at 90%, and the overall system recovery becomes 45.8% with a final permeate flow rate of 5413 m<sup>3</sup>/h.

A pressure exchanger is a method for recovering the lost energy from the brine. The brine indicated by the letter (E) in Figure 5 leaves with high pressure; this pressure can be captured as “Captured Pressure (CP)” and exchanged with FW (G) using PX, which helps in reducing the need for extra power. Figure 5 shows the assembly of PX and the way it exchanges pressure, and three main parameters are affected by PX: salinity, pressure, and flow rate [21,37,39]. The TDS of the flow before the membrane is calculated as per Equation (7):

$$TDS_C = \frac{TDS_G * Q_G + TDS_A * Q_A}{Q_G + Q_A} \tag{7}$$

where the parameter “TDS” represents the total dissolved solids in the flow, measured in parts per million (ppm). “Q” denotes the volumetric flow rate, expressed in cubic meters per hour (m³/h). The letters “A” and “G” in the indices indicate the respective positions of the flow as depicted in Figure 5. The remaining values in Figure 5 are obtained from the software and reflect the configurations of the PX.

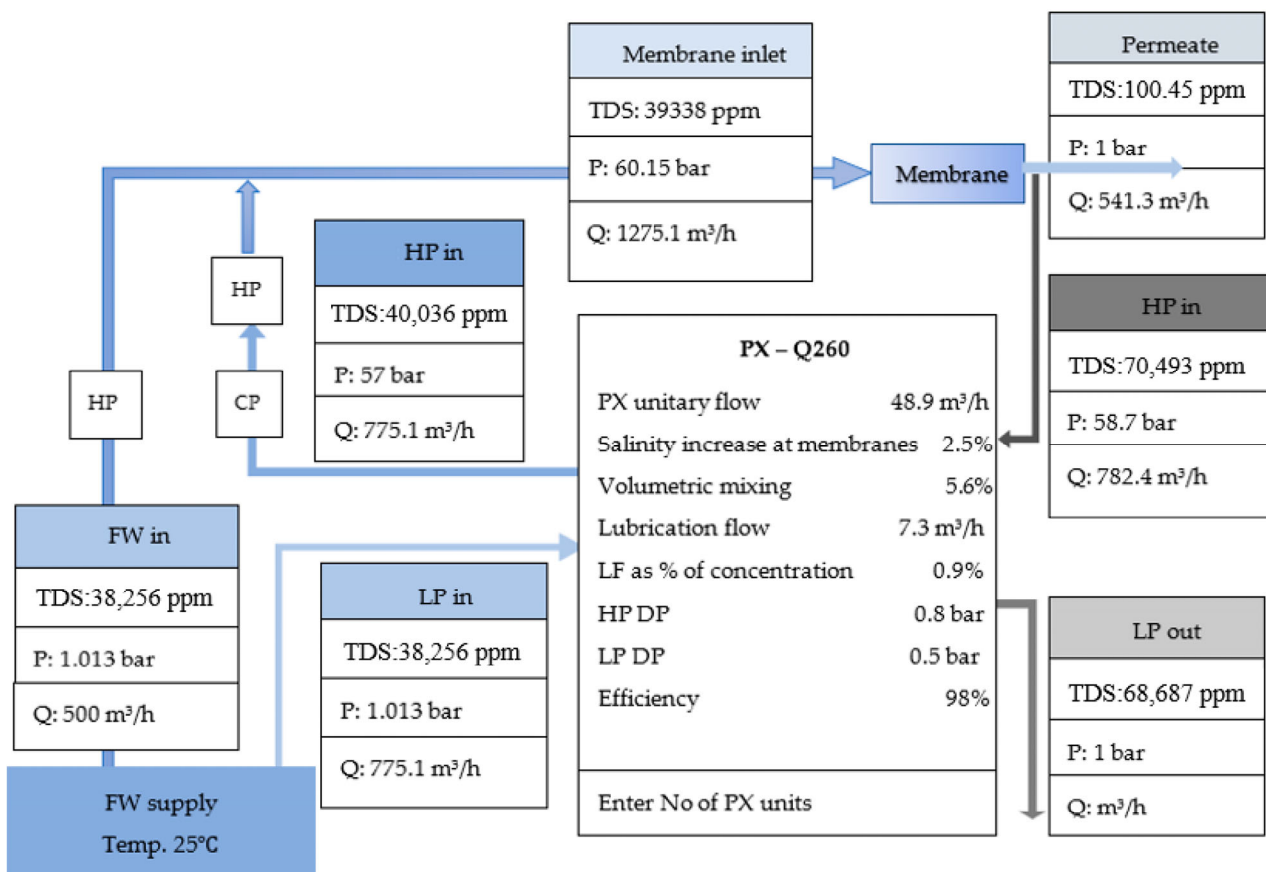


Figure 5. ERD PX model.

#### 4.1. Comparison between the Main Parameters

As noticed in the previous section, there is a range of selections that can be chosen; accordingly, different cases will be taken to investigate the effect of each parameter on the results, and then, a comparison will be held among the cases. In each case, one of the dependent parameters will vary, while the others are fixed, as illustrated in Table 10.

**Table 10.** Varied and fixed parameters in each of the six cases.

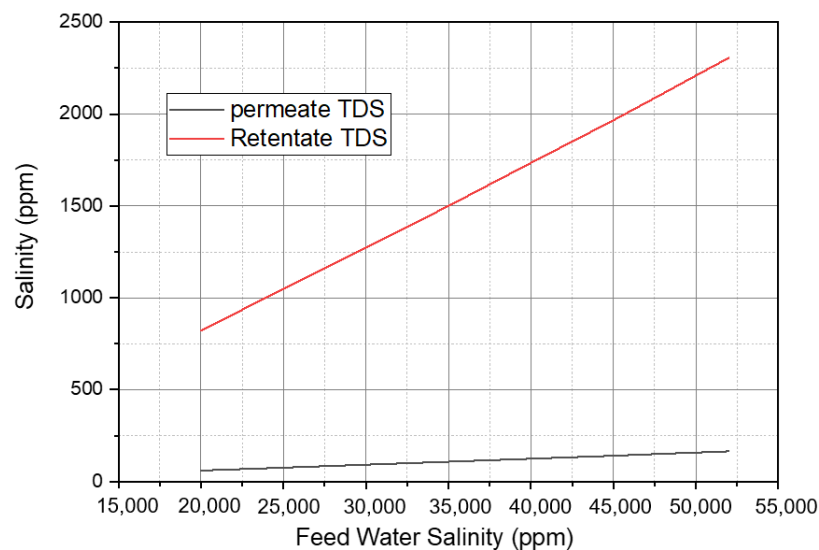
Cases	Feed Salinity (ppm)	T (°C)	Membrane Type	Feed Pressure	Recovery (%)	Feed Flow (m <sup>3</sup> /h)	Design
1	Varies	25	Fixed	Output	46.6	12,000	1st pass 1 stage 2nd pass 2 stages $\eta_{\text{pump}} = 88\%$ # of elements # of pressure vessels
2	38,225	Varies	Fixed	Output	46.6	12,000	
3	38,225	25	Varies	Output	46.6	12,000	
4	38,225	25	Fixed	Varies	46.6	12,000	
5	38,225	25	Fixed	Output	Varies	12,000	
6	38,225	25	Fixed	Output	46.6	Varies	

In some cases, the design exceeds the limits, for example, feedwater temperature in RO where the temperature should not exceed 45 °C to avoid fouling and technical issues. However, it is taken just to study the variation in the other parameters. Note that the dashed curves in the graphs below belong to the secondary axis.

Case 1:

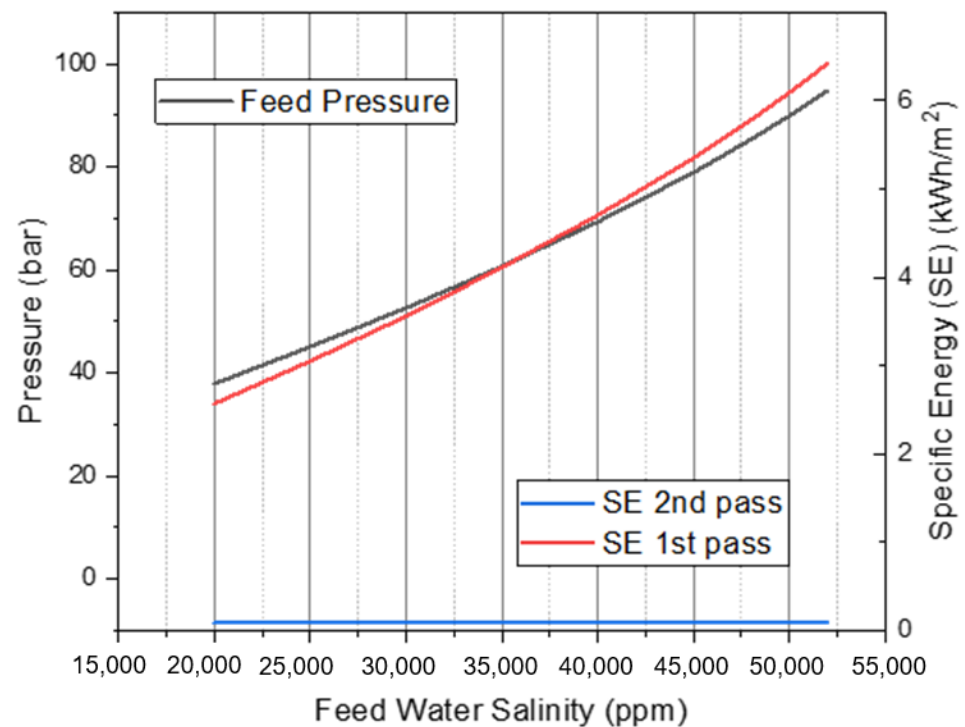
In the first case, all parameters are fixed except for the salinity, which varies from 20,000 to 52,000 ppm. The retentate R is the concentrate from pass 2 to the feed for pass 1.

It is noticed from Figure 6 that the permeate and retentate salinity increase with the increase in FW salinity which is logically expected. However, the rate of increase in retentate salinity is higher than that in the permeate, which is probably due to the required TDS that the membrane should provide and the higher rate of increase in the feed pressure as noticed in Figure 6. Additionally, SE in the first pass and feed pressure increase with the increase in FW salinity as illustrated in Figure 7. Yet, the SE in the second pass remains constant; this is probably due to the approximate rate of increase in retentate salinity that is higher than that in the permeate.



**Figure 6.** Variation in permeate and retentate salinity versus feedwater salinity at 25 °C, 12,000 m<sup>3</sup>/h feedwater flow rate, 46.6% recovery for the first pass, and 90% recovery for the second pass.





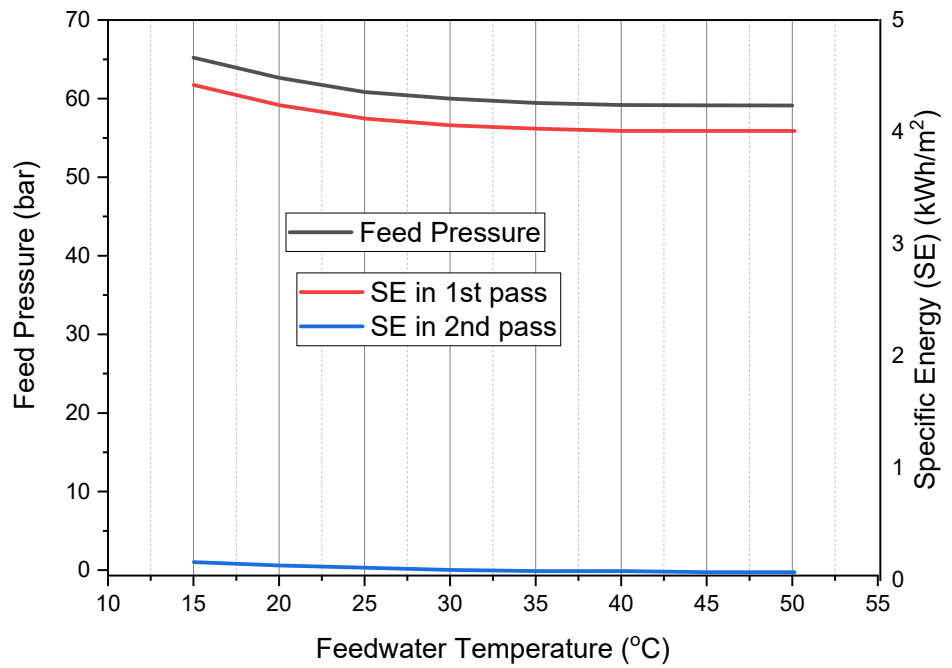
**Figure 7.** Variation in feedwater pressure, SE in first and second pass versus feed water salinity at 25 °C, 12,000 m<sup>3</sup>/h feedwater flow rate, 46.6% recovery for the first pass, and 90% recovery for the second pass.

#### Case 2:

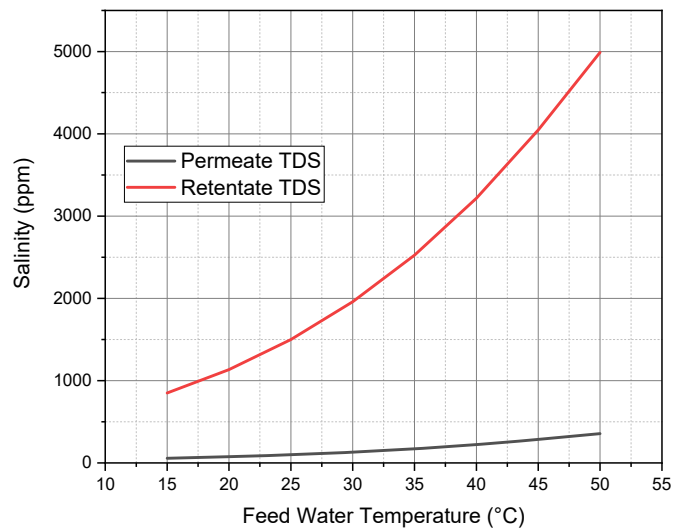
In the second case, all the parameters are fixed as in the example except for the temperature, which varies between different values from 15 to 50 °C.

It is noticed from Figures 8 and 9 that the feed pressure and specific energy in the first and second pass decrease with the increase in feed water temperature. However, the retentate and permeate TDS increase. This can be justified probably because an increase in temperature results in a decrease in the viscosity of water, which increases permeate flow rate and solute permeation rate and decreases the feed pressure. It is also noticed that the feed pressure and specific energy consumption in the first and second pass curves reach a plateau for specific temperatures above 30–35 °C, where they become steady. It is because the membrane is limited to a maximum temperature of 45 °C, and above this temperature, there will be technical and fouling problems. Additionally, the SE in the second pass is slightly affected by the increase in temperature, and this is due to the membrane used.

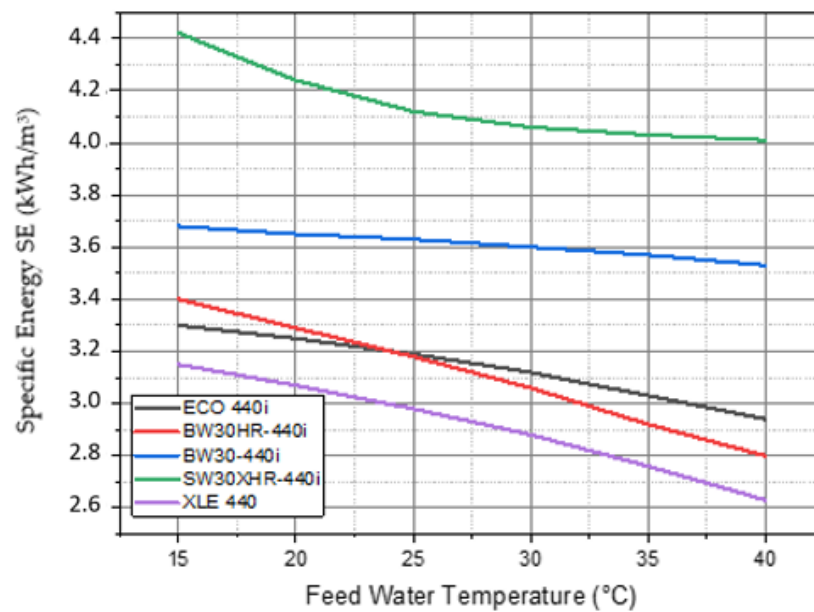
Figure 10 indicates that as temperature increases, SE decreases. However, the decrease is not the same for all membranes. For example, when BW 30-440i of SR 99.5% or XLE 440 of SR 99% is used, the temperature change has a lower effect than that in SWHX440i of SR 99.82% or ECO-440i of SR 99.7%, or BW30HR-440i of SR 99.7%. This is probably due to the pores of each membrane where membranes with smaller pores are less affected by the temperature difference than membranes with larger pores.



**Figure 8.** Variation in FW pressure, SE in first and second pass vs. T at 38255 ppm, 12,000 m<sup>3</sup>/h FW flow rate, 46.6% recovery for the first pass, and 90% recovery for the second pass SW.



**Figure 9.** Variation in permeate and retentate salinity vs. T at 38,255 ppm, 12,000 m<sup>3</sup>/h feedwater flow rate, 46.6% recovery for the first pass, and 90% recovery for the second pass.



**Figure 10.** SE for different membranes vs. the FW temperature at 38255 ppm FW salinity, 12,000 m<sup>3</sup>/h feed flowrate, and 46.6% recovery ratio.

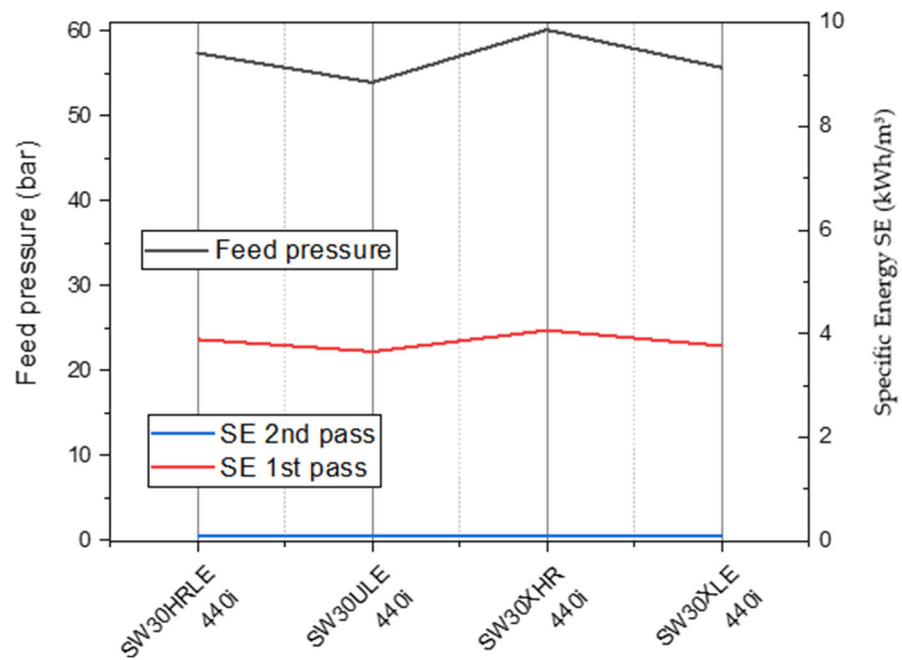
Case 3:

In the third case, the membrane type of the first pass is changed, where four membranes of the same area are chosen (different areas affect the number of elements and pressure vessels). Table 11 shows the specifications of the chosen membranes.

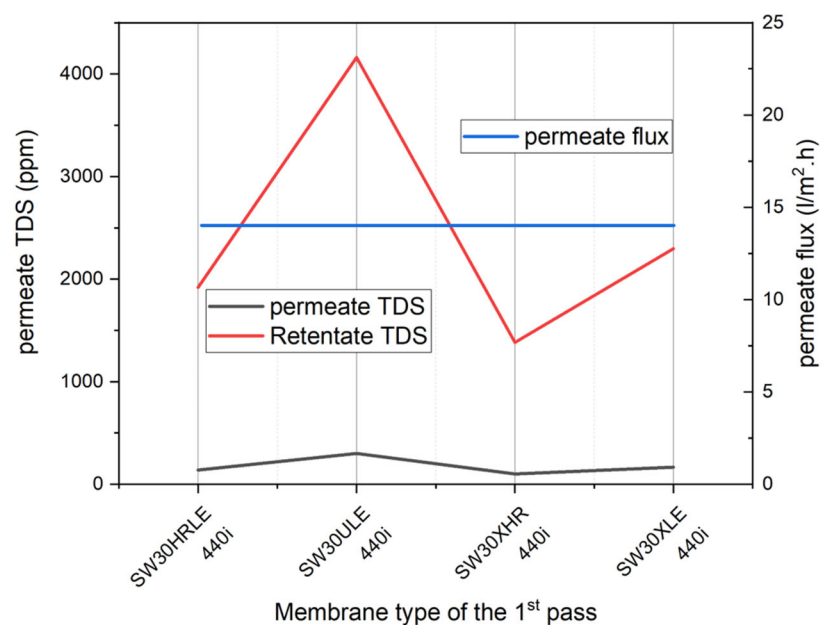
**Table 11.** Specifications of each of the chosen membrane type.

Membrane	Area (m <sup>2</sup> )	SR (%)	Flow Rate (m <sup>3</sup> /h)	Pressure (bar)
SW30HRLE440i	40.9	99.8	31	55.2
SW30ULE440i	40.9	99.7	45.4	55.2
SW30XHR440i	40.9	99.82	25	55.2
SW30XLE440i	40.9	99.8	37.5	55.2

The effect of each membrane on different parameters is shown in Figures 11 and 12. It is noticed that the membrane type has a significant effect on the feed pressure, TDS, and SE in the first pass as can be seen in Figures 11 and 12. Yet, in both the retentate and permeate, the TDS increases. Additionally, it is noticed that the TDS of the permeate and retentate change oppositely to the feed pressure and SE, where the membrane that requires higher feed pressure and SE provides the lowest salinity. This can be explained by the fact that the membranes that require higher pressure have high salt rejection, which provides lower salinity. Additionally, it is found that membrane type does not affect membrane system flux because the flux depends on area and flow rate. However, in our case, all the membranes are of the same area (40.9 m<sup>2</sup>), and the flow rate is constant.



**Figure 11.** Variation in FW pressure, SE in first and second pass versus membrane-type at 25 °C, 38,255 ppm, 12,000 m<sup>3</sup>/h FW flow rate, 46.6% recovery for the first pass, and 90% R for the second pass.



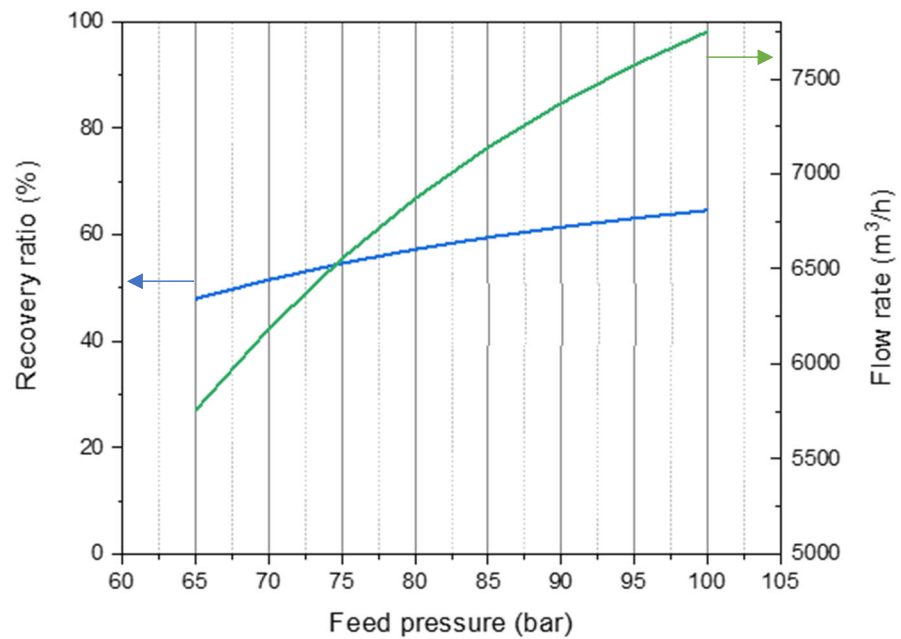
**Figure 12.** Variation in Retentate and permeate vs. membrane-type at 25 °C FW temp, 38,255 ppm FW salinity, 12,000 m<sup>3</sup>/h FW flow rate, 46.6% R for the first pass, and 90% recovery for the second pass.

Case 4:

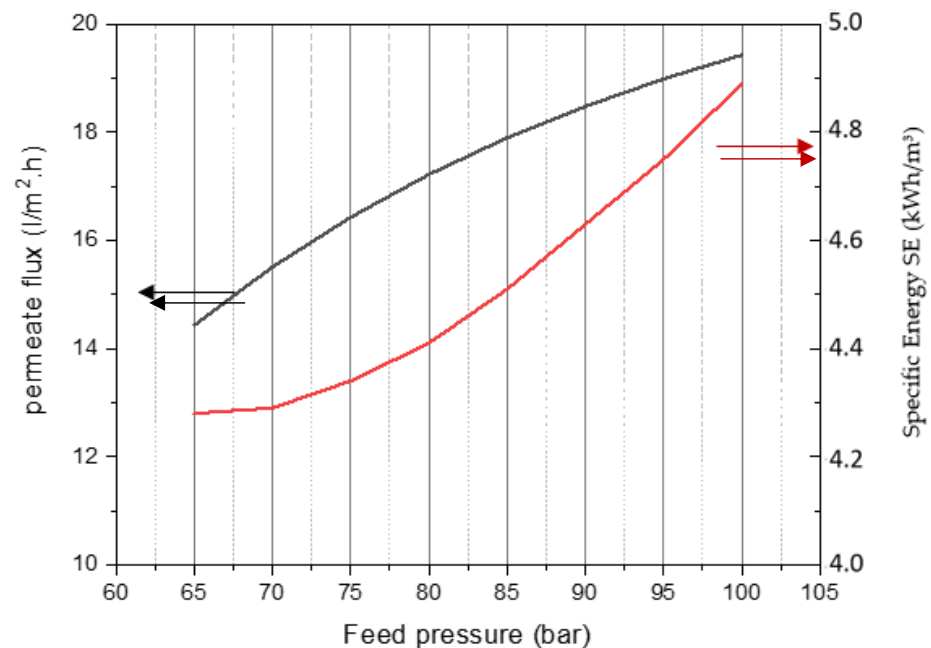
In the 4th case, feed pressure varies from 65 to 100 bar, while other parameters are fixed.

It is noticed from Figures 13 and 14 that the increase in feed pressure leads to an increase in the system recovery, specific energy consumption, and permeate flux in the first pass. So, when subjecting a membrane to a higher pressure at the same temperature and feedwater source conditions, the energy consumption will increase, as well as the

system recovery, where the permeate flow rate increases with the increase in feed pressure.



**Figure 13.** Variation in recovery and permeate flowrate vs. feed pressure at 25 °C FW temp, 38,255 ppm FW salinity, and 12,000 m³/h FW flow rate.



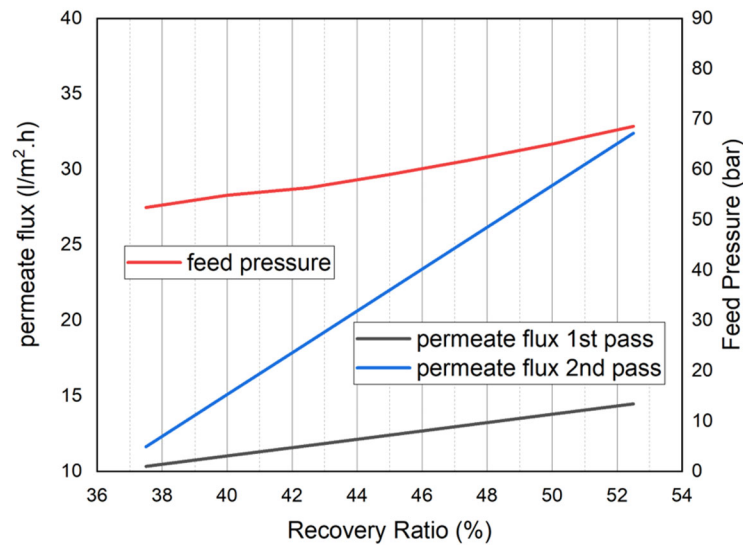
**Figure 14.** Variation in SE and permeate flux in the first pass vs. feed pressure at 25 °C FW temp, 38,255 ppm FW salinity, and 12,000 m³/h feedwater flow rate.

Case 5:

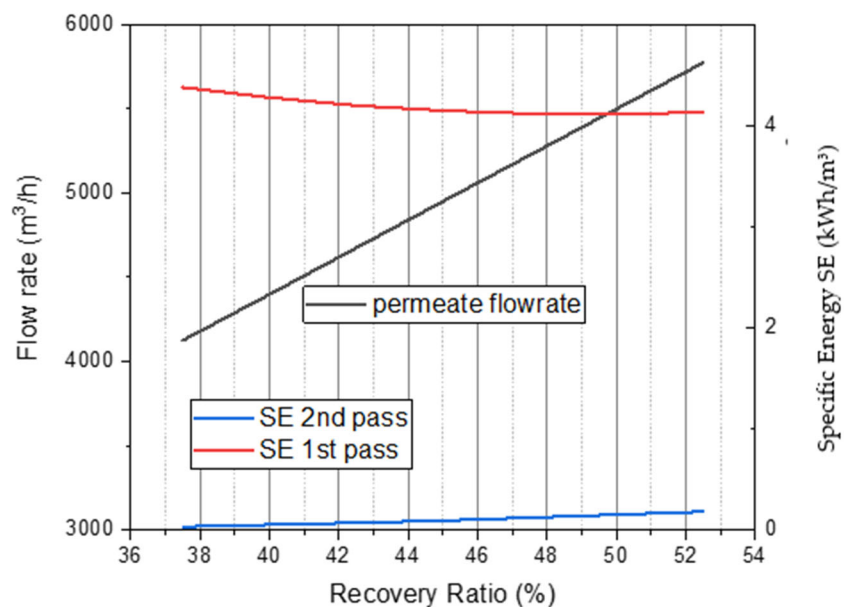
In the fifth case, all the parameters are fixed except for the recovery in the first pass, which varies within the chosen range of 35 to 55%.

It is noticed from Figures 15 and 16 that as the permeate recovery increases, permeate flux, feed pressure, and flow rate increase. Conversely, SE in the first pass decreases. There

is a slight increase in SE in the second pass; this is maybe due to the increase in the permeate flux that requires a higher increase in energy under a constant recovery rate (90%). However, the recovery in the first pass is increasing, so there is a slight decrease in the SE, which means that this increase in recovery compensates for the increase in the permeate flux which results in lower energy consumption.



**Figure 15.** Variation in feed water pressure, permeate flux in the first and second pass vs. permeate recovery at 25 °C FW temp, 38,255 ppm FW salinity, 12,000 m<sup>3</sup>/h feedwater flow rate.



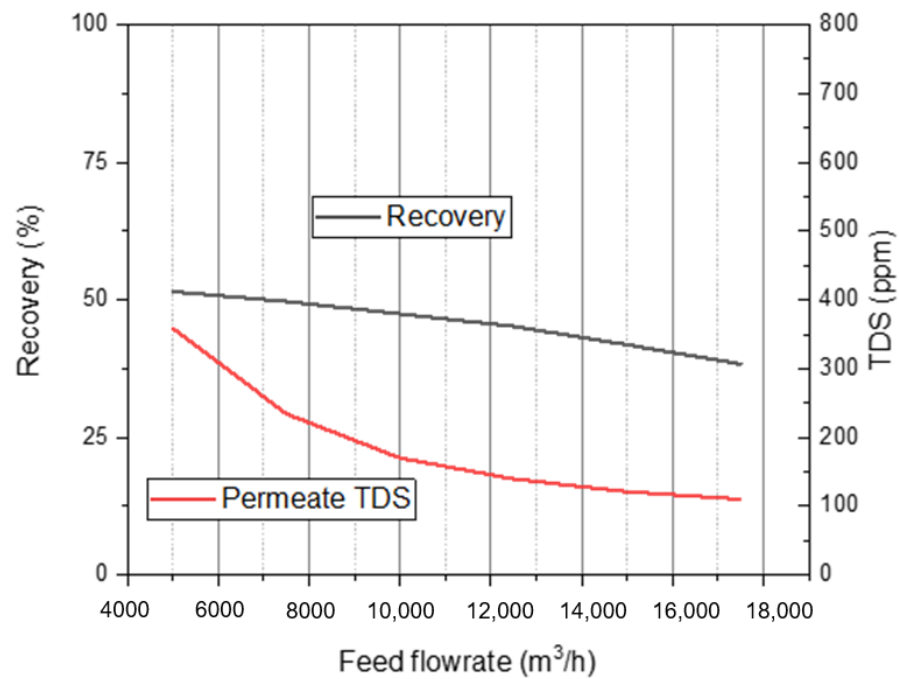
**Figure 16.** Variation in permeate flow rate, SE in the first and second pass vs. permeate recovery at 25 °C FW temp, 38,255 ppm FW salinity, 12,000 m<sup>3</sup>/h feed water flow rate.

Case 6:

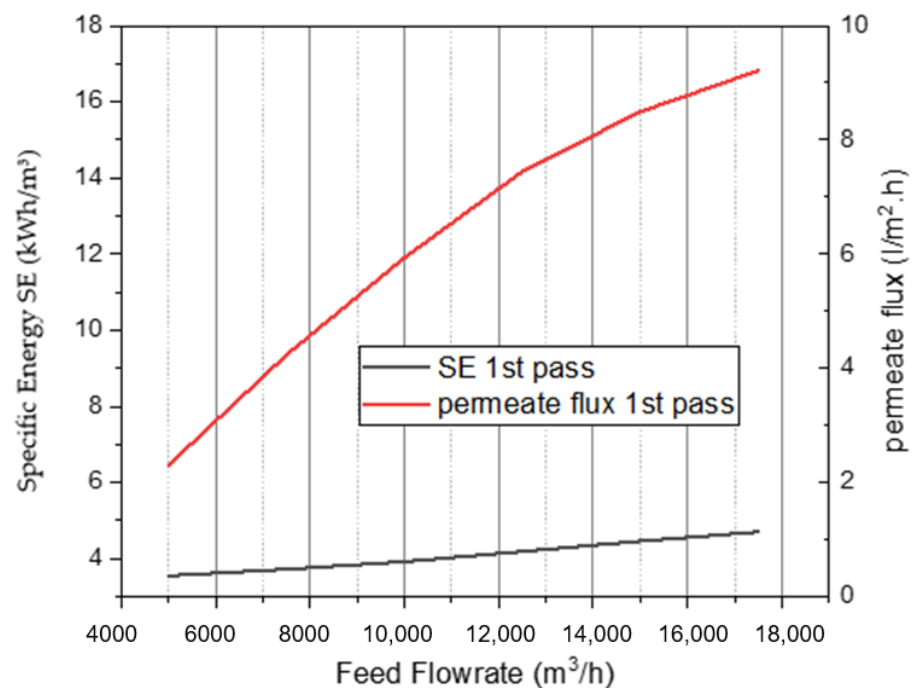
In the sixth case, all the parameters are fixed as in the example except for the feed flow rate.

It is noticed from Figures 17 and 18 that when the feed flow rate increases at a constant feed pressure, the recovery ratio and permeate TDS decrease; however, SE and permeate flux in the first pass increase. Permeate TDS is expected to increase with the increase

in feed flow rate. However, it is decreasing, and this can be attributed to the recovery ratio which is not fixed and is decreasing.



**Figure 17.** Variation in recovery %, permeate TDS vs. flow rate at 25 °C FW temp, 38,255 ppm FW salinity, and 60 bar.



**Figure 18.** Variation in SE and permeate flux in the first pass vs. flow rate at 25 °C FW temp, 38,255 ppm FW salinity, and 60 bar.

Table 12 shows the effect of the operating conditions on RO system performance based on the results and equations mentioned above.

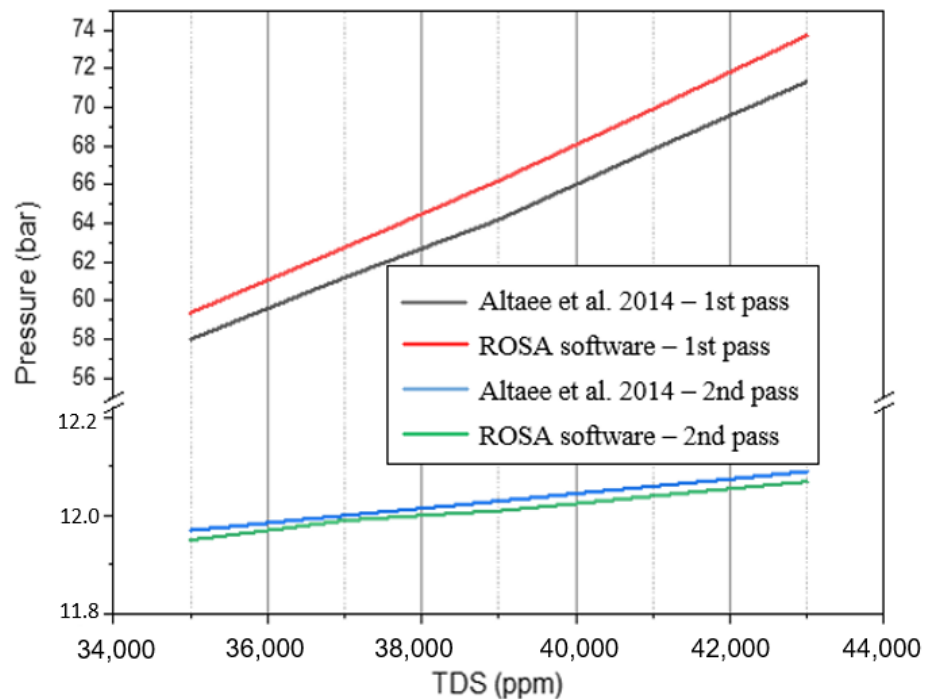
**Table 12.** Comparison between the main parameters.

Case	Permeate Flux (L/m <sup>2</sup> ·h)	Feed Pressure (Pa)	Specific Energy (kWh/m <sup>3</sup> )	Permeate TDS (ppm)
↑ Feed	Pressure	↑	↑	↑
	Salinity	↓	↑	↑
	Temperature	Constant	↓	↑
	Flow rate	↑	Constant	↓
↑ Recovery	↑	↑	↓	↓

An upward arrow indicates an increase in value, while a downward arrow indicates a reduction in value.

#### 4.2. Validating the ROSA Software

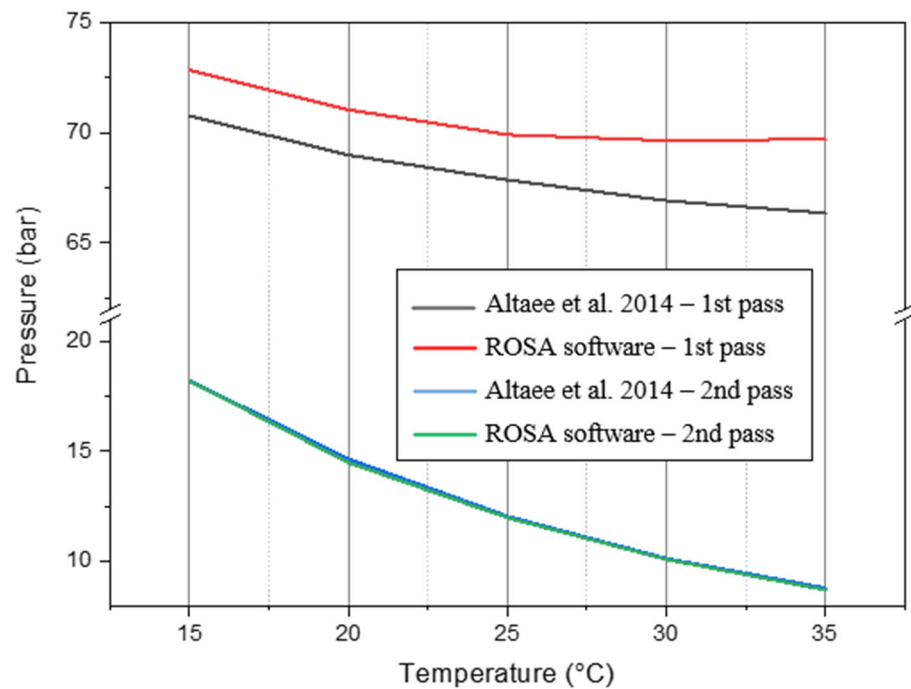
The objective of this section is to make sure that the results of ROSA are efficient by applying a published case study on ROSA and obtaining the results. The following results have been validated by Altaee et al. [40] using ROSA 9.1 software as shown in Figure 19. The error was found to be a maximum of 2.77% and 0.17% of the first and second passes, respectively. These errors are attributed to some assumptions that were made due to the absence of some factors such as pump efficiency, flow factor, and the ROSA version used by Altaee et al. [40].



**Figure 19.** Comparing results of FW TDS vs. FW pressure in the first and second passes between ROSA results and reference [40] at 25°C feed temperature and 24.3 m<sup>3</sup>/h feed flow rate.

Figure 20 shows that the obtained results have good agreement with those published by Altaee et al. [40] with maximum percentage errors of 5.05% and 1.02% in the first and second passes, respectively. The difference in the results is due to the assumptions that were made and due to the different ROSA versions since using different ROSA versions might result in slightly different outputs [41].





**Figure 20.** Comparing results of FW TDS vs. FW pressure in the first and second passes between ROSA and reference [40] at 24.3 m<sup>3</sup>/h feed flow rate and 41,000 ppm feed salinity.

## 5. Conclusions

This paper presents a methodology to facilitate the optimal design of small RO desalination systems. The main steps are integrated in an algorithm flow chart and validated with a case study. A comparison of the main parameters is carried out to investigate the impact of each one on the output. From this research, the following concluding remarks can be outlined:

- More than one output can be obtained from the same design due to two main changing parameters: dependent parameters when varying within a specific range as noticed in the results, and input parameters that affect the results such as temperature and feed pressure.
- Energy recovery methods, such as selecting high-efficiency pumps and membranes, optimizing their configuration, and implementing advanced control and monitoring systems, are crucial for reducing the energy consumption of an RO plant.
- There are major factors that affect the design to achieve lower power consumption:
  1. The parametric effect, which is the result of chosen parameters mentioned in Table 12.
  2. The optimizing effect, which is the result of balancing the design after testing it on the software (high-pressure pumps, energy recovery devices).
- It is found that the higher temperature of feedwater causes better RO operation, where, as the feedwater temperature increases for a constant permeate flow, feed pressure decreases, and the salinity of permeate increases. Hence, as a result of the lower pressure required, specific energy consumption (SEC) decreases. However, the rate of decrease in FW pressure and SEC depends on the type of membrane used.
- Increasing recovery has a significant effect on the design. As the recovery rate is reduced, the concentration of reject water decreases; hence, the osmotic pressure in the membrane elements decreases. Decreasing recovery dilutes the concentrate flow, which reduces the membrane feed pressure, which reduces the load on the high-pressure pump motor.
- ERDs mainly depend on the operating parameters (flow and pressure) for better performance.

- The active area, salt rejection, and flow capacity are the main affecting parameters of membrane performance.
- Membrane types do not affect membrane system flux because the quality of feed water defines the ultimate flux.

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**Conflicts of Interest:** The authors declare no conflicts of interest.

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