

# Pneumocystis primary infection in non-immunosuppressed infants in Lima, Peru

Theresa Ochoa, Beatriz Bustamante, Coralith Garcia, Edgar Neyra, Karina Mendoza, Enrique Calderón, Solene Le Gal, Robert Miller, Carolina Ponce, Gilles Nevez, et al.

### ▶ To cite this version:

Theresa Ochoa, Beatriz Bustamante, Coralith Garcia, Edgar Neyra, Karina Mendoza, et al.. Pneumocystis primary infection in non-immunosuppressed infants in Lima, Peru. Journal of Medical Mycology = Journal de Mycologie Médicale, 2022, 32 (1), pp.101202. 10.1016/j.mycmed.2021.101202. hal-03379792

## HAL Id: hal-03379792 https://univ-angers.hal.science/hal-03379792

Submitted on 16 Oct 2023  $\,$ 

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution - NonCommercial 4.0 International License

1 *Pneumocystis* primary infection in non-immunosuppressed infants in Lima, Peru.

2

3 Short title. *Pneumocystis* primary infection in Peru

4

5 Theresa J. Ochoa<sup>1,2</sup>, Beatriz Bustamante<sup>1,3</sup>, Coralith Garcia<sup>1,2,3</sup>, Edgar Neyra<sup>2,4</sup>, Karina
6 Mendoza<sup>1</sup>, Enrique J. Calderón<sup>5</sup>, Solene Le Gal<sup>6,7</sup>, Robert F. Miller<sup>8,9</sup> Carolina A. Ponce<sup>10</sup>,
7 Gilles Nevez<sup>6,7</sup>, Sergio L. Vargas<sup>10</sup>.

8

9 <sup>1</sup>Instituto de Medicina Tropical "Alexander von Humboldt", Universidad Peruana Cayetano 10 Heredia, Lima, Perú; <sup>2</sup>School of Medicine, Universidad Peruana Cayetano Heredia, Lima, Perú; <sup>3</sup>Hospital Cayetano Heredia, Lima, Perú; <sup>4</sup>Genomic Research Unit, Universidad Peruana 11 12 Cayetano Heredia, Lima, Perú; <sup>5</sup>Instituto de Biomedicina de Sevilla, Hospital Universitario 13 Virgen del Rocío/Consejo Superior de Investigaciones Científicas/Universidad de Sevilla, and Centro de Investigación Biomédica en Red de Epidemiología y Salud Pública, Hospital 14 15 Universitario Virgen del Rocío, Seville, Spain; 6Groupe d'Étude des Interactions Hôte-16 Pathogène (GEIHP)-Université d'Angers, Université de Brest, Brest, France; <sup>7</sup>Laboratoire de 17 Mycologie et Parasitologie, CHRU de Brest, Brest, France; <sup>8</sup>Centre for Clinical Research in Infection and Sexual Health, Institute for Global Health, University College London, London, 18 19 UK; <sup>9</sup>Clinical Research Department, Faculty of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, London, UK; <sup>10</sup>Programa de Microbiología y 20 21 Micología, Instituto de Ciencias Biomédicas (ICBM), Facultad de Medicina Universidad de 22 Chile, Santiago, Chile.

23

Corresponding author, Gilles Nevez, MD, PhD, Email: gilles.nevez@chu-brest.fr; Laboratoire
de Parasitologie et Mycologie, Hôpital de La Cavale Blanche, CHU de Brest, boulevard
Tanguy Prigent, 29609, Brest, France. Tel. +33 (0) 2 98 14 51 02, Fax: +33(0) 2 98 14 51 49.

- 27 Alternate corresponding author, Theresa Ochoa, MD, Instituto de Medicina Tropical
- 28 "Alexander von Humboldt", Universidad Peruana Cayetano Heredia, Lima, Perú. Email :
- 29 Theresa.J.Ochoa@uth.tmc.edu; Theresa.Ochoa@upch.pe
- 30
- 31 Key words: *Pneumocystis jirovecii;* pulmonary colonization, primary infection; infants, Peru,
- 32

33 Objectives. To provide original data on *Pneumocystis* primary infection in non 34 immunosuppressed infants from Peru.

35 Methods. A cross sectional study was performed. Infants less than seven months old, without 36 any underlying medical conditions attending the "well baby" outpatient clinic at one hospital 37 in Lima, Peru were prospectively enrolled during a 15-month period from November 2016 to 38 February 2018. All had a nasopharyngeal aspirate (NPA) for detection of P. jirovecii DNA 39 using a PCR assay, regardless of respiratory symptoms. P. jirovecii DNA detection was 40 considered to represent pulmonary colonization contemporaneous with *Pneumocystis* primary 41 infection. Associations between infants' clinical and demographic characteristics and results 42 of P. jirovecii DNA detection were analyzed.

**Results.** *P. jirovecii* DNA was detected in 45 of 146 infants (30.8%) and detection was not associated with concurrent respiratory symptoms in 40 of 45 infants. Infants with *P. jirovecii* had a lower mean age when compared to infants not colonized (p < 0.05). The highest frequency of *P. jirovecii* was observed in 2-3-month-old infants (p < 0.01) and in the cooler winter and spring seasons (p < 0.01). Multivariable analysis showed that infants living in a home with  $\leq 1$  bedroom were more likely to be colonized; Odds Ratio =3.03 (95%CI 1.31-7.00; p = 0.01).

50 **Conclusion**. *Pneumocystis* primary infection in this single site in Lima, Peru, was most 51 frequently observed in 2-3-month-old infants, in winter and spring seasons, and with higher 52 detection rates being associated with household conditions favoring close inter-individual 53 contacts and potential transmission of *P. jirovecii*.

54

55

#### 56 Introduction

57 The primary infection with *Pneumocystis jirovecii* appears to be highly common during the 58 first year of life in non-immunosuppressed infants. Indeed, in the early 1970s sero-59 epidemiological surveys suggested that first contacts with the fungus contemporaneously 60 occurred with primary infection in 90% of humans during infancy [1, 2]. Initially, primary 61 infection occurring in this context, was thought to be essentially asymptomatic [1, 2]. In the early 2000s, a study conducted in Chile showed that primary infection in non-62 63 immunosuppressed infants can be asymptomatic but also symptomatic [3]. P. jirovecii was 64 detectable using a PCR assay in nasopharyngeal aspirate (NPA) samples from symptomatic 65 infants developing primary infection with a frequency of 32% [3]. These low burdens of P. 66 jirovecii detected using PCR were considered to reflect pulmonary colonization rather than 67 overt Pneumocystis Pneumonia (PCP) since the infants recovered in the absence of specific 68 anti-Pneumocystis treatment. Another study conducted in France showed that primary 69 infection in non-immunosuppressed infants can be symptomatic and may or may not be 70 associated with viral or bacterial infections, P. jirovecii being also detectable in NPAs using a 71 PCR assay [4]. More recently, in Denmark, it was reported that primary infection in non-72 immunosuppressed infants frequently occurred in the context of a self-limiting upper 73 respiratory tract infection (URTI) [5]. Data on primary Pneumocystis infection in non-74 immunosuppressed infants living in emerging countries from South America are scarce. In 75 this context, the general objective of the present study was to provide original data on primary 76 Pneumocystis infection in Peru, a upper-middle-income country of South America 77 characterized by a Gross Domestic Product per capita of 6,710 US \$ in 2017. The specific 78 objectives were to detect P. jirovecii in a cohort of a priori healthy infants, i.e. non-79 immunosuppressed infants at risk for primary infection considering their age, and to correlate P. jirovecii presence with putative specific clinical and demographic characteristics. 80

81

#### 82 Methods

83 Hospital settings and infant population.

The free National Health System hospital network in Lima, Peru is divided into areas with outpatient clinics that serve populations living in these geographical locations. The outpatient clinics at Cayetano Heredia Hospital provide care to an infant population that lives in a densely populated neighborhood.

88 Criteria for enrollment of infants

89 Infants less than seven months of age, attending outpatient clinics for well-baby checks were 90 prospectively enrolled in this cross-sectional study during a 15 month-period from November 91 2016 to February 2018. They had an NPA for *P. jirovecii* detection regardless of respiratory 92 symptoms. Infants were placed into one of six groups based on age: one month, two months, 93 three months, four months, five months, and six months. Infants' clinical and demographic 94 data were collected using a case report form. Demographic data consisted of age, sex, type of 95 birth and birth weight. At enrollment, information about respiratory symptoms that had 96 occurred during the previous two weeks, including the day of enrollment, were collected. Parents were subsequently contacted by telephone 15 days later to ask about occurrence of 97 98 any respiratory symptoms following the clinic visit.

99 Infants with significant chronic disease (e.g. HIV infection, primary immunodeficiency, 100 congenital heart disease, Down syndrome), previous hospitalization (except that related to the 101 birth period and who were discharged  $\leq 3$  days after birth), mothers <18 years of age, or 102 infants whose parents could not be contacted for the 15 days follow up, were not enrolled.

103 Sampling and *P. jirovecii* detection.

One NPA specimen was collected per infant. Specimens were transported to the clinical 104 mycology laboratory at Universidad Peruana Cayetano Heredia in Lima in a cooler with ice 105 106 packs. DNA was extracted using a commercial kit following manufacturer's instructions 107 (QIAamp® DNA Mini Kit, Qiagen). P. jirovecii DNA detection was performed using a 108 nested-PCR assay that amplifies the mitochondrial large subunit rRNA gene. The primers and 109 methods were described elsewhere [3, 6, 7]. Each specimen was also examined with a PCR 110 assay targeting the  $\beta$ -globin gene to estimate cellular quality of specimens and the presence of 111 potential inhibitors [8]. To avoid contamination, each step of the PCR assay was performed in 112 different areas of the laboratory with different sets of micropipettes. To monitor for possible 113 contamination, negative controls were included in each experiment and PCR round. A 114 positive control (P. jirovecii DNA positive) was added in each PCR experiment. Each 115 positive NPA specimen was controlled in a second experiment.

116 Possible influence of climatic conditions.

Weather data for Lima, Peru between November 2016 and February 2018 were obtained from
www.worldweatheronline.com

119 Data analyses.

120 Data analyses were performed with Stata version 15 (StataCorp, College Station, USA). A 121 descriptive analysis was carried out where frequency measurements of variables were 122 estimated according to the main dependent variable, i.e. *P. jirovecii* DNA detected, or not, 123 using the  $\chi^2$  test or Fisher exact test when appropriate. Student T test was used to compare 124 quantitative variables.

Association between variables and *P. jirovecii* DNA detection results was estimated by Odds
Ratios (OR) with 95% confidence intervals (95% CI), in bivariable and multivariable logistic
regression models. A two-sided p value <0.05 was considered significant.</li>

- 128 The study was approved by the Institutional Ethics Committees of Universidad Peruana
- 129 Cayetano Heredia and Hospital Cayetano Heredia (May 31 2016, registration code: 66639).
- 130 One or both parents signed the Informed Consent to allow their infant to participate.

#### 131 **Results**

132 A hundred and forty-six infants were enrolled. Demographic and clinical data of infants 133 examined are listed in Table 1. Infants' mean (SD) age was 3.7 months (± 1.7 months), mean 134 (SD) birth weight was 3.3 (± 0.4 Kg), and 60.3% were exclusively breast-fed. P. jirovecii 135 DNA was detected in 45 out of 146 infants, i.e. an overall prevalence of 30.8%. These 45 136 infants were considered to be colonized by P. jirovecii contemporaneous with Pneumocystis 137 primary infection because they were mostly asymptomatic and they improved despite the 138 absence of specific treatment against *P. jirovecii*. The characteristics of infants colonized by 139 P. jirovecii or not, did not differ apart from those related to infants co-sleeping with their 140 parents [42/45 (93%) vs. 70/101 (69%), p <0.01], the number of bedrooms at home  $\leq 1$  [32/45 141 (71%) vs. 50/101 (49.5 %), p <0.05], and the lower mean age of the infants [3.1 months vs. 4 142 months, p <0.05]. Infants colonized by P. jirovecii had a lower rate of URTI, mainly a common cold, within the 15 days prior to and including the day of enrollment, compared to 143 144 infants who were not colonized [5/45 (11.1%) vs. 27/101 (26.7%); p <0.05] however this 145 difference was not observed at 15 days post clinic visit (Table 2). No infant had clinical signs 146 compatible with lower respiratory tract infection (LRTI), such as wheezing or overt 147 pneumonia (Table 2).

148 A significant difference in the proportion of colonized infants was observed by age group (p 149 <0.01). The highest frequency of colonization was observed in 2-month-old and 3-month old 150 infants 61% and 47%, respectively (Figure 1). A significant difference in the proportion of 151 colonized infants by season of the year was also observed. Higher rates of colonization were 152 observed in winter and spring, 50% and 36%, respectively (when average daily temperature 153 was 21.3°C and 21°C, respectively) whereas lower rates of colonization were observed in 154 summer and fall, 29 % and 8 %, respectively (when average daily average temperature was 25.3°C and 26.3°C, respectively); p <0.01. (Figure 2). 155

- 156 Using bivariable analysis, the ORs of colonization in infants living in a home with  $\leq 1$
- 157 bedroom or co-sleeping with parents were 2.51 [(95% CI 1.18-5.33, p =0.02)] and 3.4 [95%
- 158 CI 0.94-12.30, p =0.06], respectively (Table 3). Using multivariable analysis, the only
- 159 remaining significant factor associated with *P. jirovecii* colonization was having  $\leq 1$  bedroom
- 160 at home; OR =3.03 [(95% CI 1.31-7.00, p =0.01)] (Table 3).
- 161

#### 162 **Discussion**

163 This study provides original data on *Pneumocystis* primary infection among apparently 164 healthy infants in Lima, Peru. P. jirovecii presence and its detection in infants less than 7 165 months-old was considered to be related to first contacts with the fungus and consequently, to 166 be contemporary with primary infection. This definition of primary infection could be 167 discussed because putative P. jirovecii reinfection could not be strictly ruled out in some 168 cases. Nonetheless, it is noteworthy that *P. jirovecii* was mainly detected in young infants [2-169 month-old (61%) and 3-month-old (47%)], and less frequently in older infants. P. jirovecii 170 appears highly prevalent in infants mostly asymptomatic, its overall rate being 30.8%. This 171 rate is similar to that previously observed in Chile (32%) [3] but differs significantly to those 172 previously observed in France (from 18.2 % to 25.3%) [4, 9] and Denmark (16%) [5]. There 173 is a higher prevalence in South America than in Europe (P < 0.001,  $\chi^2$  test) [9]. Several 174 hypotheses could be considered to explain these results, including differences in study design 175 (prospective vs. retrospective), in infant populations (asymptomatic vs. symptomatic), in 176 socioeconomical characteristics (middle income countries vs. high income countries), in 177 methods of P. jirovecii detection (nested-PCR assay vs. real-time PCR assay), and in 178 geographic and climatic characteristics (tropical/hot temperate climate vs. cool/temperate 179 climate).

The highest rate of *P. jirovecii* detection in infants in Peru was at 3 months (IQR, 2-4 months) whereas it was 5 months (IQR, 4-6 months) and 3 months 24 days (IQR, 75-113 days) in France [4, 9], 5 months (IQR, 3.7–9.3 months) in Chile [3] and 3 months (IQR, 73–112 days) in Denmark [5]. Comparing the results of these different studies must be done cautiously, nonetheless the values of median and IQR ages of positive infants are similar. These common characteristics suggest that first contacts with *P. jirovecii*, and consequently primary infection 186 in infants, is a common process occurring at the same time in life, regardless the geographical187 location.

188 This study was not designed to establish correlations between P. jirovecii detection and 189 putative specific symptoms. Indeed, it was conducted in an outpatient clinic for well-baby 190 checks and consequently most of enrolled infants were asymptomatic (114 out of 146 infants: 191 78%). P. jirovecii was detected in 45 infants of whom 40 did not have symptoms. Moreover, 192 it is noteworthy that at enrolment, infants with URTI were less likely colonized than 193 asymptomatic infants (table 2). The survey performed 15 days after the date of infants' 194 enrollment confirmed absence of symptoms after P. jirovecii detection. These original results 195 on primary infection represent an important advance in knowledge of Pneumocystis 196 epidemiology. Indeed, in the study of Vargas and colleagues [3] it was suggested that primary 197 infection could be asymptomatic but this observation was based on seroconversion against 198 Pneumocystis antigens whereas only NPAs from symptomatic infants were examined for P. 199 jirovecii detection. In Larsen and colleagues' study and Nevez and colleagues' study, no 200 asymptomatic infants were enrolled and P. jirovecii detection was associated with URTI and 201 LRTI respectively [5, 9]. In the early nineteen eighties, the association of P. jirovecii and 202 pneumonitis in immunocompetent infants was suggested by Stagno and colleagues [10]. 203 However, they used *Pneumocystis* antigen detection in serum whereas *P. jirovecii* detection 204 was positive in only one pulmonary sample [10].

Recently, it was shown that *P. jirovecii* was frequent in preterm infants in Europe [11]. Preterm infants with *P. jirovecii* had a 2.7-fold higher probability of developing respiratory distress syndrome than infants without *P. jirovecii* [11]. Nonetheless, premature infants cannot be considered as healthy and strictly immunocompetent. This population differs from that we examined, likewise do the infant populations in who an unexplained association between *P. jirovecii* and sudden infant death syndrome (SIDS) that occurs at the first months 211 of life worldwide, has been observed. Indeed, P. jirovecii was detected in infants with SIDS 212 in Santiago, Chile, Oxford, UK [12], Rochester, New York, New Haven, Connecticut [13], 213 and San Diego, CA, USA [14]. Although the role of P. jirovecii as the causative infectious 214 agent of SIDS could not be established, it was noteworthy that its presence in the lungs was 215 associated with increased mucus and activity of chloride channel accessory1 (hCLCA1), the 216 mucus precursor proteins [8, 15]. Whether these observations in deceased infants with 217 putative primary infection may be applicable to primary infection in alive infants remains an 218 open question.

219 The fact that *P. jirovecii* may have a pathogenic effect in infants with asymptomatic primary 220 infection is prompted by recent data showing that subclinical *Pneumocystis* primary infection 221 in rats was associated with a progression of Th2 cell-type inflammation and airway 222 remodeling [16]. To sum up, primary infection in non-immunosuppressed or apparently 223 healthy infants can be symptomatic contemporaneous with self-limited URTI or LRTI, or 224 asymptomatic but in this later case P. jirovecii could not be entirely nonpathogenic. For 225 obvious ethical reasons, this hypothesis could not be tested in our infant population which 226 could not undergo invasive lung tissue sampling.

227 The sources from which infants acquired P. jirovecii are still unclear. Although an 228 environmental reservoir cannot be strictly ruled out considering the report of P. jirovecii DNA 229 detection in the air from the countryside [7], no environmental niche has clearly been 230 identified. By contrast, there are several populations of patients who may contribute to the 231 human reservoir of *P. jirovecii*. Interindividual transmission of *Pneumocystis* sp. has clearly 232 been established in rodent models [17, 18] and is highly probable in humans (review in [19]). 233 It is known that pregnancy which is associated with physiological changes in the immune 234 system [20] may predispose to pulmonary colonization with P. jirovecii [21]. The mothers 235 may have transmitted the fungus by airborne route to their newborns or infants, this mode of

transmission being suggested in humans [22] and demonstrated for P. oryctolagi in rabbits 236 237 [23]. Likewise, the transplacental transmission of P. oryctolagi in rabbits has been 238 demonstrated [23] and suspected for P. jirovecii in humans. Montes-Cano and colleagues 239 detected P. jirovecii DNA in 11 lungs of miscarriage fetuses and 8 placenta samples [24]. 240 Szydlowicz and colleagues recently discussed transplacental transmission, specifically in 241 newborns [25]. It the present study, no newborns, i.e. infants younger than one month, were 242 enrolled. However, the mothers may not necessarily be the source of *P. jirovecii*. Indeed, in 243 Spencer and colleagues' study, 5 out of 41 women and 5 out of 60 infants were colonized by 244 P. jirovecii but none of the colonized women or children were members of the same family 245 [26]. In another recent investigation of *P. jirovecii* detection and genotyping in sample pairs 246 from mothers and newborns, the newborns were more frequently colonized than their mothers 247 (74.4% vs. 46.5%, p <0.01) and no perfect matches of P. jirovecii genotypes in 248 mothers/newborns sample pairs were observed [27]. Moreover, in a previous study, we 249 detected P. jirovecii in only 5 out of 92 pregnant women near term (5.43%) while it was not 250 detected in their newborns nor in their placentas [28]. Thus, other human sources of P. 251 jirovecii including acquisition in households may be possible. For example, putative P. 252 jirovecii transmission between a grand-father and his grand-son has been described by Rivero 253 and colleagues [29]. The higher rate of *P. jirovecii* that we observed in infants living in a 254 dwelling with only one or no bedroom suggests that P. jirovecii may have been acquired 255 under overcrowded conditions within the family. Unfortunately, in this study, we could not 256 investigate *P. jirovecii* acquisition by infants from their family members.

A significant difference in the proportion of infants colonized or not colonized by *P. jirovecii* by season was observed ( $\chi^2$  test p < 0.01). Higher rates of *P. jirovecii* in infants were observed in winter and spring whereas the lower rates were observed in summer and fall. These results suggesting seasonal variation could be related to a bias. The highest frequency of *P. jirovecii* detection was observed in 2-month-old and 3-month-old infants and these two infant groups may have been over represented. The numbers of infants in the six groups of age (from one to six months) and in each month over the 15 months of the study were not strictly identical on the one hand and insufficient for a highly powerful analysis on the other hand.

266 Be that as it may, the higher rate of *P. jirovecii* detection in infants was effectively observed 267 in the cooler months of the spring and the winter in Lima when the average temperature was 268 around 21°C. This may be consistent with reports described elsewhere showing an association 269 of PCP occurrence in immunosuppressed patients with average temperatures from 10°C to 270 20°C rather than with the seasons themselves [30]. In Chile, the highest rate of P. jirovecii 271 detection in autopsied infants was in winter, when the average temperatures were 16°C-18°C 272 whereas the lowest rate was in the fall when the average temperatures were 20°C-28°C [31]. 273 However, the seasons and/or temperatures may not represent strictly independent factors.

274 Finally, we provide original data on *Pneumocystis* primary infection in Lima, Peru. We 275 demonstrated using microbiological data, i.e. P. jirovecii detection in NPAs, that 276 Pneumocystis primary infection could be asymptomatic, as it was previously established on 277 serological data [3]. The higher detection rate was associated with household conditions 278 favoring close inter-individual contacts and therefore potential transmission of P. jirovecii. 279 Moreover, *Pneumocystis* primary infection was most frequently observed in infants 2-3 280 months of age and during the cooler months of the winter and spring. Our overall results 281 combined with the analysis of the literature show that *Pneumocystis* primary infection occurs 282 in the first months of life worldwide in both high income and middle-income countries.

283

Funding: This work was supported by the European Commission (grant number Era-Net LAC
2014 HID-0254).

- 286 Financial Disclosure: The authors have no financial relationships relevant to this article to
- 287 disclose.

288

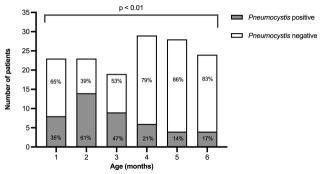
#### 289 References

- 290 [1]. Meuwissen JH, Tauber I, Leeuwenberg AD, Beckers PJ, Sieben M. Parasitologic and
- serologic observations of infection with *Pneumocystis* in humans. *J Infect Dis.* 1977;136:43-9.
- 292 [2]. Pifer LL, Hughes WT, Stagno S, Woods D. Pneumocystis carinii infection: evidence for
- high prevalence in normal and immunosuppressed children. *Pediatrics* 1978;61:35-41.
- [3]. Vargas SL, Hughes WT, Santolaya ME, Ulloa AV, Ponce CA, Cabrera CE, et al. Search
- 295 for primary infection by *Pneumocystis carinii* in a cohort of normal, healthy infants. Clin
- 296 Infect Dis. 2001; 32:855-61.
- 297 [4]. Nevez G, Totet A, Pautard JC, Raccurt C. Pneumocystis carinii detection using nested-
- 298 PCR in nasopharyngeal aspirates of immunocompetent infants with bronchiolitis. J Eukaryot
- 299 *Microbiol.* 2001;Suppl:122S3S.
- 300 [5]. Larsen HH, von Linstow ML, Lundgren B, Hogh B, Westh H, Lundgren JD. Primary
- 301 pneumocystis infection in infants hospitalized with acute respiratory tract infection. Emerg302 Infect Dis. 2007; 13:66-72.
- 303 [6]. Wakefield AE, Pixley FJ, Banerji S, Sinclair K, Miller RF, Moxon ER, et al. Detection of
   304 *Pneumocystis carinii* with DNA amplification. Lancet. 1990;336:451-3.
- 305 [7]. Wakefield AE. DNA sequences identical to *Pneumocystis carinii* f. sp. *carinii* and 306 *Pneumocystis carinii* f. sp. *hominis* in samples of air spora. J Clin Microbiol. 1996;34:1754-9.
- 307 [8]. Vargas SL, Ponce CA, Gallo M, Pérez F, Astorga JF, Bustamante R, et al. Near-Universal
- 308 prevalence of Pneumocystis and associated increase in mucus in the lungs of infants with
- 309 sudden unexpected death. Clin Infect Dis. 2013; 56:171-179.
- 310 [9]. Nevez G, Guillaud-Saumur T, Cros P, Papon N, Vallet S, Quinio D, et al. *Pneumocystis*
- primary infection in infancy: Additional French data and review of the literature. Med Mycol.
  2020;58:163-171.
- 313 [10]. Stagno S, Pifer L, Hughes W, Brasfield D, Tiller E. *Pneumocystis carinii* Pneumonitis in
- 314 Young Immunocompetent Infants. Pediatrics. 1980;66:56–62.
- 315 [11]. Rojas P, Friaza V, García E, de la Horra C, Vargas SL, Calderón EJ, et al.
- 316 Early Acquisition of *Pneumocystis jirovecii* Colonization and Potential Association with Resp
- 317 iratory Distress Syndrome in Preterm Newborn Infants. Clin Infect Dis. 2017;65:976-81.
- 318 [12]. Vargas S, Ponce C, Hughes W, Wakefield A, Donoso S, Ulloa AV, et al. Association of
- 319 primary *Pneumocystis carinii* infection and sudden infant death syndrome. Clin Infect Dis.
- 320 1999; 29:1489-93.

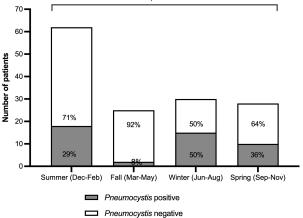
- [13]. Morgan DJ, Vargas SL, Reyes-Mugica M, Walterspiel JN, Carver W, Gigliotti F.
  Identification of *Pneumocystis carinii* in the lungs of infants dying of sudden infant death
  syndrome. Pediatr Infect Dis J. 2001; 20:306-9.
- 324 [14]. Vargas SL, Ponce CA, Galvez P, Ibarra C, Haas EA, Chadwick AE, et al. Pneumocystis
  325 is not a direct cause of sudden infant death syndrome. Pediatr Infect Dis J. 2007; 26:81-3.
- 326 [15]. Pérez FJ, Ponce CA, Rojas DA, Iturra PA, Bustamante RI, Gallo M, et al. Fungal
- 327 colonization with Pneumocystis correlates to increasing chloride channel accessory 1
- 328 (hCLCA1) suggesting a pathway for up-regulation of airway mucus responses, in infant
- 329 lungs. Results Immunol. 2014; 4:58-61.
- 330 [16]. Iturra PA, Rojas DA, Pérez FJ, Méndez A, Ponce CA, Bonilla P, et al. Progression of
- 331 Type 2 Helper T Cell-type Inflammation and Airway Remodeling in a Rodent Model of
- 332 Naturally Acquired Subclinical Primary Pneumocystis Infection. Am J Pathol. 2018;188:417-
- 333 31.
- 334 [17]. Hughes WT. Natural mode of acquisition for de novo infection with *Pneumocystis*335 *carinii*. J Infect Dis. 1982; 145:842-8.
- 336 [18]. Dumoulin A, Mazars E, Seguy N, Gargallo-Viola D, Vargas S, Cailliez JC, et al.
- 337 Transmission of *Pneumocystis carinii* disease from immunocompetent contacts of infected
- hosts to susceptible hosts. Eur J Clin Microbiol Infect Dis. 2000;19:671-8.
- 339 [19]. Pougnet L, Le Gal S, Nevez G. Airborne Interindividual Transmission of *Pneumocystis*340 *jirovecii*. OBM Genetics 2019;3:15; doi:10.21926/obm.genet.
- 341 [20]. Claman HN. The immunology of human pregnancy. Totowa (NJ): Humana Press; 1993
- 342 [21]. Vargas SL, Ponce CA, Sanchez CA, Ulloa AV, Bustamante R, Juarez G. Pregnancy and
  343 asymptomatic carriage of *Pneumocystis jiroveci*. Emerg Infect Dis. 2003;9:605-6.
- 344 [22]. Miller RF, Ambrose HE, Novelli V, Wakefield AE. Probable Mother-to-Infant
- 345 Transmission of *Pneumocystis carinii* f. sp. *hominis* Infection. J Clin Microbiol. 2002; 40:
  346 1555–1557.
- 347 [23]. Cere N, Drouet-Viard F, Dei-Cas E, Chanteloup N, Coudert P. In Utero transmission of
  348 *Pneumocystis carinii* sp.f. *oryctolagi*. Parasite. 1997; 4:325-330.
- 349 [24]. Montes-Cano MA, Chabe M, Fontillon-Alberdi M, de-Lahorra C, Respaldiza N, et al.
- 350 Vertical transmission of *Pneumocystis jirovecii* in humans. Emerg Infect Dis. 2009; 15 :125-
- 351 7.
- 352 [25]. Szydłowicz M, Królak-Olejnik B, Vargas SL, Zajączkowska Ż, Paluszyńska D,
- 353 Szczygieł A, et al. *Pneumocystis jirovecii* colonization in preterm newborns with respiratory
- distress syndrome. J Infect Dis. 2021:jiab209. doi: 10.1093/infdis/jiab209.

- 355 [26]. Spencer L, Ukwu M, Alexander T, Valadez K, Liu L, Frederick T, et al. Epidemiology
  356 of *Pneumocystis* colonization in families. Clin Infect Dis. 2008; 46:1237-40.
- 357 [27]. Vera C, Aguilar YA, Velez LA, Rueda ZV.
- 358 High transient colonization by *Pneumocystis jirovecii* between mothers and newborn. Eur J
- 359 Pediatr. 2017;176:1619-1627.
- 360 [28]. Garcia C, Ochoa T, Neyra E, Bustamante B, Ponce C, Calderon EJ, et al.
- 361 *Pneumocystis jirovecii* colonisation in pregnant women and newborns in Lima. Peru Rev
  362 Iberoam Micol. 2020;37:24-7.
- 363 [29]. Rivero L, de la Horra C, Montes-Cano MA, Rodríguez-Herrera A, Respaldiza N, Friaza
- 364 V, et al. *Pneumocystis jirovecii* transmission from immunocompetent carriers to infant. Emerg
- 365 Infect Dis. 2008;14:1116-8.
- 366 [30]. Miller RF, Huang L, Walzer PD. The relationship between *Pneumocystis* infection in
- animal and human hosts, and climatological and environmental air pollution factors: a
  systematic review. OBM Genet. 2018;2:10.21926/obm.genet.1804045.
- 369 [31]. Djawe K, Daly KR, Vargas SL, Santolaya ME, Ponce CA, Bustamante RM, et al.
- 370 Seroepidemiological study of *Pneumocystis jirovecii* infection in healthy infants in Chile
- 371 using recombinant fragments of the *P. jirovecii* major surface glycoprotein. Int J Infect Dis.
- 372 2010; 14:1060-6.
- 373
- 374

- 375 Table 1. Demographic and clinical characteristics of infants with or without *Pneumocystis*
- 376 primary infection, Lima, Peru.
- 377
- Table 2. Symptoms and putative respiratory diseases in infants attending "well baby" clinics
- 379 with or without *Pneumocystis* primary infection, Lima, Peru.
- 380
- 381 Table 3. Bivariable and multivariable analyses of factors associated with diagnoses of
- 382 *Pneumocystis* primary infection in infants, Lima, Peru.
- 383
- 384 Figure 1. Proportion of infants with or without *Pneumocystis* primary infection by age groups.
- 385 Foot notes. There was a significant difference in the proportion of infants with or without
- 386 *Pneumocystis* primary infection by age groups ( $\chi^2$  test p < 0.01).
- 387
- 388 Figure 2. Proportion of infants with or without *Pneumocystis* primary infection by season of
- the year.
- 390 Foot notes. A significant difference in the proportion of infants with or without primary
- 391 infection by season was observed ( $\chi^2$  test p < 0. 01).
- 392
- 393



p < 0.01



	All infants $(n = 146)$	Colonized infants (n = 45)	Non-colonized infants (n = 101)	p value
Gender, female	76 (52.1%)	23 (51.1%)	53 (52.5%)	$p = 0.88^{a}$
Mean age, months (SD)	3.7 (± 1.7)	3.1 (± 1.6)	4 (± 1.7)	p < 0.05 <sup>b</sup>
Type of birth, C-section*	78 (53.4%)	26 (57.8%)	52 (51.5%)	$p = 0.48^{a}$
Birth weight (Kg)				-
2.5 - <3.0	30 (20.6%)	10 (22.2%)	20 (19.8%)	p = 0.33 <sup>c</sup>
3.0 - <3.5	72 (49.3%)	26 (57.8%)	46 (45.5%)	-
3.5 - <4.0	40 (27.4%)	8 (17.8%)	32 (31.7%)	
4.0 - 4.5	4 (2.7%)	1 (2.2%)	3 (3.0%)	
Exclusively breastfed	88 (60.3%)	30 (66.7%)	58 (57.4%)	$p = 0.29^{a}$
Parents smoking tobacco at home	10 (6.9%)	1 (2.2%)	9 (8.9%)	$p = 0.17^{\circ}$
Siblings at home	70 (48.0%)	18 (40.0%)	52 (51.5%)	$p = 0.20^{a}$
Co-sleeping with parents	112 (76.7%)	42 (93.3%)	70 (69.3%)	p < 0.01 <sup>a</sup>
Number of bedrooms $\leq 1$	82 (56.2%)	32 (71.1%)	50 (49.5%)	$p = 0.015^{a}$
Waste disposal, indoor public network	120 (82.2%)	40 (88.9%)	80 (79.2%)	$p = 0.15^{a}$
Antibiotics since birth <sup>d</sup>	12 (8.2%)	2 (4.4%)	10 (9.9%)	$p = 0.34^{\circ}$
Antibiotics given in the	3(2.1%)	2(4.4%) 0(0%)	3 (3.0%)	p = 0.54 $p = 0.55^{\circ}$
last 15 days <sup>d</sup>	5 (2.170)	0(070)	5 (5.070)	p = 0.55
Symptoms in the last 15 days <sup>e</sup>				
Rhinorrhea	21(14.4%)	4 (8.9%)	17 (16.8%)	$p = 0.20^{a}$
Cough	10 (6.8%)	2 (4.4%)	8 (7.9%)	$p = 0.72^{\circ}$
Fever	6 (4.1%)	1 (2.2%)	5 (5.0%)	$p = 0.66^{\circ}$
Wheezing	3 (2.1%)	1 (2.2%)	2 (2.0%)	$p = 1^{c}$
Diarrhea	2 (1.4%)	0 (0%)	2 (2.0%)	$p = 1^{c}$
Subcostal retractions	1 (0.7%)	0 (0%)	1 (1.0%)	$p = 1^{c}$
Vomiting	1 (0.7%)	0 (0%)	1 (1.0%)	$p = 1^{c}$
Sibling and/or parents with symptoms	4 (2.7%)	0 (0%)	4 (4.0%)	$p = 0.31^{\circ}$

 Table 1. Table 1. Demographic and clinical characteristics of infants with or without

 Pneumocystis primary infection, Lima, Peru.

Key: \*C-section = Caesarean section; <sup>a</sup>  $\chi 2$  test, <sup>b</sup> Student T test, <sup>c</sup> Fisher exact test

<sup>d</sup> mostly beta-lactams or aminoglycosides; <sup>e</sup> including the day of enrolment

	At enro	ollment	On day15 of follow-up		
-	Colonized	Non-colonized	Colonized	Non-colonized	
	infants	infants	infants	infants	
	(n = 45)	(n=101)	(n=45)	(n=101)	
Asymptomatic	40 (88.9%) <sup>a</sup>	74 (73.3%) <sup>a</sup>	37 (82.2%)	77 (76.2%)	
Putative URTI	5 (11.1%) <sup>a</sup>	27 (26.7%) <sup>a</sup>	8 (17.7%)	21 (20.8%)	
Common cold	5 (11.1%)	26 (25.7%)	4 (8.9%)	7 (6.9%)	
Pharyngitis	0	1 (1.0%)	4 (8.9%)	14 (13.9%)	
Acute otitis media	0	0	0	0	
Laryngotracheitis	0	0	0	0	
Putative LRTI	0	0	0	3 (3.0%)	
Bronchitis	0	0	0	2 (2.0%)	
Bronchiolitis	0	0	0	1 (1.0%)	
Asthma/bronchospasm	0	0	0	0	
Pneumonia	0	0	0	0	

Table 2. Symptoms and putative respiratory diseases in infants attending "well baby" clinics with or without *Pneumocystis* primary infection, Lima, Peru.

Key : <sup>a</sup> p<0.05 (0.03) ( $\chi^2$  test) ; URTI =Upper respiratory tract infection ; LRTI =Lower respiratory tract infection

Variables -	Bivariable analysis			М	Multivariable analysis			
	OR	95% CI	p value	OR	959	% CI	p value	
Gender								
Female	1			1				
Male	1.06	0.52 2.13	0.88	1.30	0.58	2.91	0.52	
Type of birth								
Vaginal	1			1				
C-section	1.29	0.63 2.62	0.48	1.67	0.72	3.86	0.23	
Birthweight (Kg)								
2.5 - <3	1			1				
3.0 - < 3.5	1.13	0.46 2.78	0.79	1.07	0.40	2.87	0.89	
3.5 - < 4	0.50	0.17 1.48	0.21	0.47	0.14	1.55	0.21	
4.0 - 4.5	0.67	0.06 7.25	0.74	1.25	0.07	22.26	0.88	
Parents smoking								
tobacco at home								
No	1			1				
Yes	0.23	0.03 1.89	0.17	0.19	0.02	2.34	0.19	
Siblings at home								
No	1			1				
Yes	0.63	0.31 1.28	0.20	1.02	0.45	2.32	0.96	
Antibiotics used								
since birth								
No	1			1				
Yes	0.42	0.09 1.99	0.27	0.50	0.07	3.82	0.51	
Wheezing								
No	1			1				
Yes	1.13	0.10 12.74	0.92	3.34	0.09	122.01	0.51	
Cough								
No	1			1				
Yes	0.54	0.11 2.65	0.45	0.58	0.06	5.52	0.63	
Fever								
No	1			1				
Yes	0.44	0.05 3.85	0.46	1.50	0.03	65.45	0.83	
Rhinorrhea								
No	1			1				
Yes	0.48	0.15 1.52	0.21	0.70	0.16	3.13	0.64	
Number of								
bedrooms								
	2.51	1.18 5.33	$0.02^{a}$	3.03	1.31	7.00	0.01 <sup>a</sup>	
$\leq 1$ >1	2.51	1.16 5.55	0.02	5.05 1	1.51	7.00	0.01	
	1			1				
Co-sleeping with								
parents No	1			1				
No Yes	1 3.40	0.94 12.30	0.06	1 1.77	0.39	8.07	0.46	
105	5.40	0.94 12.30	0.00	1.//	0.39	0.07	0.40	

Table 3. Bivariable and multivariable analyses of factors associated with diagnoses of *Pneumocystis* primary infection in infants, Lima, Peru.

Key: C-section = Caesarean section; <sup>a</sup> Using bivariable and multivariable analysis, the number of bedrooms at home  $\leq 1$  remained the only significant factor associated with *Pneumocystis* primary infection.