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Zinc Induced a Dramatic Enhancement of the Nonlinear Optical Properties of an Azo-Based Iminopyridine Ligand

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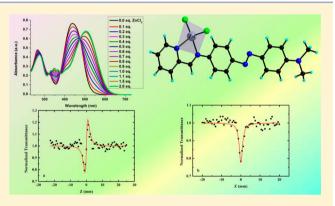
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ABSTRACT: An azobenzene based iminopyridine ligand **1** has been synthesized by a condensation reaction between N_rN_1 dimethyl-4,4'-azodianiline and 2-formylpyridine. The complexation of the ligand with ZnCl₂ afforded a tetrahedral neutral zinc metal complex (**2**) formulated as [(Azo-Imino-Py)ZnCl₂]. The crystal structure analysis of the complex indicates the formation of a tetracoordinated zinc(II) ion with the expected tetrahedral geometry. In solid state, a 2D supramolecular architecture reinforced by $\pi-\pi$ stacking and hydrogen bonding is observed. The UV–visible spectrophotometric titration studies of the ligand, by increasing amount of ZnCl₂, confirm the existence of single complex species in solution. The nonlinear optical (NLO) investigations by Z-scan technique of both ligand **1** and complex **2** indicate a dramatic enhancement of the NLO absorption of



the ligand upon complexation with $ZnCl_2$. This enhancement is likely to be due to a large dipole moment found for the complex 2 as calculated by DFT/B3LYP methodology.

1. INTRODUCTION

Azobenzene (AB) and its numerous derivatives are widely used in a large diversity of industrial applications. For instance, AB based dyes are the most important group of synthetic colorants and represent 60-70% of the world production of "absorbing molecules".¹ Moreover, AB is considered to be a molecular switch prototype possessing unique photochromic properties with stable cis and trans geometries related by two efficient and reversible photoisomerization processes. The photoisomerization occurs by UV irradiation concerning the $\pi - \pi^*$ absorption band changing trans to cis conformation. The reverse cis to trans isomerization takes place by blue light irradiation resulting in the $n-\pi^*$ absorption band.^{2,3} Thus, the above-mentioned reversible isomerization needs two light sources and it is the basis for many functional materials with applications in nonlinear optics,⁴ optical storage media,⁵ chemosensors,⁶ optical switches,⁷ as well as a trigger protein folding.⁸ However, one of the ongoing challenges is the preparation of multifunctional molecular materials which can be created by the combination of two different molecular units bearing two different physical properties. These materials can therefore exhibit coexistence or synergy between two different physical properties. In order to address this challenge, considerable effort has been devoted to link covalently coordinating or binding unit to an AB photofunctional unit to produce photoactive metal complexes. For example, metal complexes of dithiolates,⁹ catecholates,¹⁰ bipyridines,⁷ terpyridines,^{11,12} Salens,^{13–15} and more recently diamine-based ligands have been synthesized.¹⁶ Pyridine based Schiff bases ligands such as 2-iminopyridyls with chelating abilities form stable complexes anchoring various transition metals. They have been used, for example, in metallo-organic self-assembling media to produce discrete metallo-supramolecular helicates,^{17–19} cages,²⁰ and capsules.²¹ They have also been utilized with a variety of transition metals in catalysis.^{22,23}

We have recently demonstrated the positive effect of the metal complexation on the nonlinear optical properties of some electroactive ligands. For example, we have reported an increase of the nonlinear optical behavior of orthogonal tetrathiafulvalene (TTF)-based ligands by the complexation with platinum as

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compared with palladium.²⁴ In the case of TTF-based iron and ruthenium metal complexes we have observed switching of the nonlinear absorption character of the systems from Saturable Absorptive to Reverse Saturable Absorptive upon metal complexation.²⁵ Moreover, we have recently shown an increase of the nonlinear optical response of TTF-based bisiminopyridine ligand coordinated with zinc cation.²⁶ As the continuation of our research on the preparation of suitable materials for nonlinear optical applications, we report herein the synthesis, characterization, and nonlinear optical properties of an iminopyridine-appended azobenzene ligand and its corresponding neutral Zn(II) complex. It is worth noting that a dramatic increase of both the two photon absorption and the nonlinear refraction is observed in the case of the zinc complex as compared with the free ligand.

2. EXPERIMENTAL METHODS

Materials. Solvents for nonlinear optical measurements were of spectroscopic grade; all the other chemicals were of reagent grade quality and used as received.

Synthesis. *N*,*N*-*Dimethyl*-4-((*E*)-(*pyridin-2ylmethylene*) *amino*)*phenyl*) *diazenyl*) *aniline* (1). A solution of *N*,*N*-dimethyl-4,4'-azodianiline 0.500 g (2.08 mmol) and 2-formylpyridine 0.222 g (2.08 mmol) in ethanol (20 mL) and few drops of acetic acid were heated under reflux overnight. After cooling to room temperature, the precipitate formed was filtered off and washed with ethanol. The desired product 1 was obtained as red powder in 80% yield.

¹H NMR (300 MHz, DMSO-*d*₆) δ/ppm: 8.77 (d, 1H, *J* = 4.71 Hz), 8.70 (s, 1H), 8.21 (d, 1H, *J* = 7.88 Hz), 8.00 (td, 1H, *J* = 7.69.11 Hz, *J* = 1.39 Hz), 7.88 (d, 2H, *J* = 8.67 Hz), 7.83 (d, 2H, *J* = 9.11 Hz), 7.58 (m, 1H), 7.52 (d, 2H, *J* = 8.62 Hz), 6.86 (d, 2H, *J* = 9.18 Hz), 3.09 (s, 6H). ¹³C NMR (75 MHz, DMSO-*d*₆) δ/ppm: 161.06, 153.93, 152.48, 151.16, 151.05, 149.79, 142.94, 142.64, 137.12, 125.80, 124.76, 122.93, 122.21, 121.41, 113.42, 111.57, 39.80. Selected IR bands (cm⁻¹): ν = 2896, 1596, 1515, 1360, 1132. MALDI-TOF MS calcd: *m*/*z* = 329.16 Da. Found: *m*/*z* = 330.20 [M]⁺. HR-MS (M): for C₂₀H₁₉N₅: 329.1640. Found 329.1649.

N,*N*-Dimethyl-4-((*E*)-(pyridin-2ylmethylene) amino)phenyl) diazenyl) aniline Zinc Chloride (**2**). In a test tube, a solution of ligand **1** 10.01 mg (0.03 mmol) in CH_2Cl_2 (5 mL) was mixed with a solution of $ZnCl_2$ 4.09 mg (0.03 mmol) in CH_3CN (5 mL) and ultrasonicated for 2 min. On top of the resulting solution a layer of diethyl ether was added, which led to the formation of single crystals of complex **2** after one week. Yield: (75%).

¹H NMR (300 MHz, DMSO-*d*₆) δ/ppm: 8.82 (d, 1H, *J* = 4.64 Hz), 8.75 (s, 1H), 8.21 (d, 1H, *J* = 7.79 Hz), 8.07 (t, 1H, *J* = 7.46 Hz), 7.88 (d, 2H, *J* = 8.61 Hz), 7.83 (d, 2H, *J* = 9.15 Hz), 7.64 (t, 1H, *J* = 5.78 Hz), 7.54 (d, 2H, *J* = 8.58 Hz), 6.87 (d, 2H, *J* = 9.24 Hz), 3.09 (s, 6H). ¹³C NMR (75 MHz, DMSO-*d*₆) δ/ppm: 193.75, 160.73, 152.54, 152.31, 151.25, 151.15, 150.26, 149.79, 143.13, 142.95, 142.64, 137.70, 128.44, 124.80, 123.93, 123.41, 122.85, 122.47, 113.43, 111.69, 111.58, 39.92. Selected IR bands (cm⁻¹): ν = 1595, 1557, 1443, 1361. MALDI-TOF MS calcd: *m*/*z* = 428.06 Da. Found: *m*/*z* = 428.10. HR-MS (M): for C₂₀H₁₉N₅ClZn: 428.0620. Found 428.0614.

Apparatus and Procedures. NMR spectra were recorded on a Bruker Avance DRX 300 spectrometer operating at 300 MHz for ¹H and 75 MHz for ¹³C. Chemical shifts are expressed in parts per million (ppm) downfield from external TMS. The following abbreviations are used: s, singlet; d, doublet; t, triplet; td, triplet of doublet. UV-visible spectra were recorded at room temperature in quartz cuvettes using Perkin-Elmer spectrophotometer. Mass spectra were collected with Bruker Biflex-III TM. IR spectra were recorded on a Bruker vertex 70.

Experimental X-ray diffraction data on single crystals were collected at room temperature using a Bruker Nonius Kappa CCD diffractometer operating with a Mo–K α (λ = 0.71073 Å) X-ray tube with a graphite monochromator. The structures were solved (SHELXS-97) by direct methods and refined (SHELXL-97) by full-matrix least-squares procedures on F^{2.27} All non-H atoms of the donor molecules were refined anisotropically, and hydrogen atoms were introduced at calculated positions (riding model), included in structure factor calculations, but not refined. X-ray diffraction measurements were performed on a Bruker Kappa CCD diffractometer, operating with a Mo K α (λ = 0.71073 Å) X-ray tube with a graphite monochromator at a room temperature. Details about data collection and solution refinement are given in Table 1. Crystallographic data for the structural analysis have been deposited with the Cambridge Crystallographic Data Centre, CCDC 974523 (complex 2).

Table 1. Crystal Data and Structure Refinement for 2

compound	2		
empirical formula	$C_{20}H_{19}Cl_2N_5Zn$		
fw	465.67		
T (K)	293(2)		
wavelength (Å)	0.71073		
cryst syst	monoclinic		
space group	P21/n		
a (Å)	11.4347(7)		
b (Å)	13.5824(6)		
c (Å)	14.9356(7)		
α (deg)	90		
β (deg)	111.779(5)		
γ (deg)	90		
$V(Å^3)$	2154.08(19)		
Ζ	4		
$D_{\rm c} ({\rm g} {\rm cm}^{-3})$	1.436		
abs coeff (mm ⁻¹)	1.403		
F(000)	952		
cryst size (mm ³)	$0.3 \times 0.2 \times 0.2$		
heta range for data collection (deg)	2.10-27.51		
reflns collected	4923		
indep reflns	2871		
completeness (%)	99.3		
refinement method	full-matrix least-squares on F^2		
data/restraints/param	4923/0/253		
GOF on F ²	1.047		
final R indices $[I > 2\sigma(I)]$	R1 = 0.0488, wR2 = 0.0981		
R indices (all data)	R1 = 0.1038, wR2 = 0.1230		
largest diff. peak and hole (e ${\rm \AA}^{-3})$	0.332 and 0.370		

For the nonlinear optical measurements, the Z-scan technique has been utilized, employing a $Nd:YVO_4$ laser with a repetition rate of 10 Hz at 532 nm. By means of the "open" and "divided" Z-scans the nonlinear absorption and the nonlinear refraction of the ligand and the complex have been separately determined. The Z-scan technique is based on measuring the transmittance of the laser beam after the sample, while the latter moves along the transmission axis of a focused

Scheme 1. Synthetic Scheme of Ligand 1 and Complex 2

NH₂

1

ZnCl₂ CH₂Cl₂

CH₃CN

laser beam. During the "open" aperture Z-scan measurements the total transmission of the laser beam is detected. In order to obtain the "divided" Z-scan the so-called "closed" aperture Zscan is divided by the "open" aperture, while the former is obtained by measuring the transmittance of the laser beam after passing a narrow aperture. The advantage of the Z-scan technique is that it can provide both the sign and the magnitude of the nonlinear refraction and nonlinear absorption.

In particular, from the "divided" and "open" aperture Z-scans the nonlinear refractive parameter and the nonlinear absorption coefficient can be determined by mean of the following equations:

$$T = \sum_{m=0}^{\infty} \frac{\left[-\beta I_0 L_{eff} / (1 + z^2 / z_0^2)\right]^m}{(m+1)^{3/2}}$$
(1)

$$T = 1 - \frac{4\Delta\Phi_0 x}{(x^2 + 9)(x^2 + 1)}$$
(2)

where T is the normalized transmittance, β is the nonlinear absorption coefficient, $L_{\rm eff}$ is the effective thickness of the sample, $\Delta \Phi_0$ is the on-axis nonlinear phase shift at the focus, and it is related with the nonlinear refractive parameter through the equation

$$\Delta \Phi_0 = k I_0 \gamma' L_{\text{eff}} \tag{3}$$

where I_0 is the on-axis intensity of the laser beam and γ' is the nonlinear refractive parameter. A dip or peak in the "open" aperture curves correspond to reverse saturable absorption (RSA) type behavior or saturable absorption (SA) type behavior, respectively. On the other hand the divided Z-scan curves can show a valley—peak or peak—valley configuration related to self-focusing or self-defocusing character of the investigated material, respectively. With the nonlinear absorption and nonlinear refractive parameters known, the real and imaginary parts of the third order nonlinear susceptibility can be determined according to the following equations:

$$\operatorname{Re} \chi^{(3)}(\operatorname{esu}) = \frac{10^{-6} c n_0^2}{480 \pi^2} \gamma'(\operatorname{cm}^2 \operatorname{W}^{-1})$$
$$\operatorname{Im} \chi^{(3)}(\operatorname{esu}) = \frac{10^{-7} c^2 n_0^2}{96 \pi^2 \omega} \beta \ (\operatorname{cm} \operatorname{W}^{-1})$$
(4)

where *c* is the speed of light in cm/s and ω is the fundamental frequency given in cycles s⁻¹. Finally the second order

hyperpolarizability γ , which is an intensity-independent quantity, can be determined through the equation

C

2

$$\gamma = \frac{\chi^{(3)}}{NL^4} \tag{5}$$

where N is the number of molecules per volume and L is the local field correction factor.

Quantum Chemical Calculations. The structures of both investigated molecules 1 and 2 were modeled in vacuum using the ab initio formalism implemented in GAMESS program package.^{28,29} The initial geometries were built using ACD/ ChemSketch, an integrated software package from Advanced Chemistry Development, Inc. The minimum of the potential energy surface has been calculated at restricted Hartree-Fock (RHF) level³⁰ with the standard 6-31G basis set in C_1 symmetry. The geometries of molecules were found applying the quadratic approximation (QA) optimization algorithm based on augmented Hessian techniques.³¹ The gradient convergence tolerance was equal to 10^{-4} Hartree/Bohr. At the end of geometry search the Hessian evaluation was performed to exclude the structures giving the negative modes and ensure the thermodynamic equilibrium of the molecule.

The modeled structures were used to compute the electronic properties of isolated ligand 1 and complex 2 performing quantum chemical calculations. The simulations were carried out applying the methodology of density functional theory (DFT) introduced in GAMESS program package. The time dependent DFT (TDDFT) calculations were performed using different exchange-correlation (XC) potentials in generalized gradient approximation (GGA), namely, the BLYP, B3LYP, and LC-BLYP. The B3LYP was finally chosen, as it has been found to be in very good agreement with the experimental findings. The hybrid B3LYP functional implemented in the GAMESS program package uses a linear combination of exact Hartree–Fock exchange as well as local and gradient-corrected exchange and correlation energies:^{32,33}

$$E_{XC}^{B3LYP} = 0.2E_X^{HF} + 0.8E_X^{Slater} + 0.72E_X^{B88} + 0.81E_C^{LYP} + 0.19E_C^{VWN5}$$
(6)

The single point calculations were performed with standard 6-31G basis set and with the one augmented by polarization and diffusion functions, $6-31++G^{**}$.³⁴⁻³⁶ The RHF SCF energy convergence criterion was chosen to be 10^{-12} Hartree.

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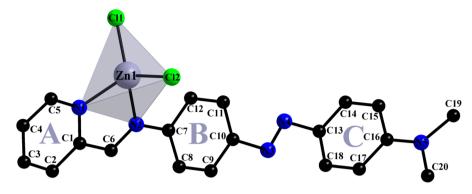


Figure 1. Crystal structure of Azo-Imino-PyZnCl₂ complex.

Table 2. Selected Bond Lengths (Å) and Angles (°) in Complex 2 Measured Experimentally and Calculated Applying HF/6-31G Methodology

bond length (Å)		angle values (deg)			
	experimental data	HF/6-31G obtained data		experimental data	HF/6-31G obtained data
N1—Zn1	2.0570(3)	2.11895	N1—Zn1—N2	81.04(12)	78.723
N2—Zn1	2.0730(3)	2.15390	N1—Zn1—Cl1	116.89(9)	109.693
Cl2—Zn1	2.2129(12)	2.29253	N2—Zn1—Cl1	120.71(9)	110.124
Cl1—Zn1	2.1901(11)	2.28450	N1—Zn1—Cl2	109.59(9)	107.688
C6—N2	1.2630(4)	1.26679	N2—Zn1—Cl2	106.80(8)	112.388
C7—N2	1.4260(4)	1.42205	Cl1—Zn1—Cl2	116.45(5)	127.427

The UV–visible absorption spectra were calculated using the iterative Davidson method³⁷ with an accuracy of 10^{-12} Hartree.

3. RESULTS AND DISCUSSION

Synthesis and Crystal Structure Description. Ligand 1 was prepared by a condensation reaction between N_rN_1 dimethyl-4,4'-azodianiline and 2-formylpyridine in ethanol and a catalytic amount of acetic acid in 80% yield (Scheme 1). The reaction between the ligand 1 and the zinc chloride in a 1:1 ratio led to the formation of a monomeric complex 2 described here by the formula, [ZnCl₂(1)].

Suitable crystals for X-ray structural determination were grown by the slow diffusion of the mixture of the abovementioned reactants in CH₃CN:CH₂Cl₂ (1/1, v/v) into an ethylic ether layer. Complex 2 crystallizes in the monoclinic space group P21/n and its crystal structure is represented in Figure 1. The zinc ion is tetracoordinated with the expected tetrahedral geometry, the coordination sphere being formed by two chlorine atoms (Cl1, Cl2) and two nitrogen atoms, one from the pyridyl ring (N1) and another iminic (N2) from the chelating Azo-Imino-Py ligand 1. The stereochemistry around the zinc ion is slightly distorted as evidenced by the differences between the values of the angles formed by the metal center with the donor atoms (see Table 2). This distortion is caused by the small bite size of the Azo-Imino-Py ligand 1, a common feature of imino-pyridyl type ligands, which results in a significantly narrow N-Zn-N angle (N1-Zn1-N2 $81.04(12)^{\circ}$) in comparison with the other angles around the zinc atom as well as the ideal tetrahedral angle. In the Azo-Imino-PyZnCl₂ complex 2 the Zn1-N1(pyridyl) bond is somewhat shorter than the Zn1-N2(imino) bond (both shorter than the Zn-Cl bonds), and the length of 1.263(4)Å for the C6–N2 bond confirms no delocalization. The formal double bond character in the imino fragment is maintained (see Table 2).

The atomic bonds and angles in molecules 1 and 2 optimized by HF/6-31G methodology are presented in Table 2. It is seen that the tendency of bonds is maintained. The tetracoordinated bonds of zinc ion have the same behavior as the experimentally measured ones. The computed bonds are overestimated by 3-4% compared to the experimentally obtained data. In good agreement with the experimental data are lengths of C6-N2 and C7-N2 bonds. The bond C6-N2 is shorter than C7-N2 showing double bond behavior. Also, the N1-Zn1-N2 angle is very close to the experimental one. In addition, from the geometry optimization procedure it is seen that the Zn based tetrahedron is more flat than the experimental one as evidenced by the Cl1-Zn-Cl2 angle, which is 11° bigger for HF computations. We can conclude that the obtained geometry of isolated molecule is in good agreement with the experimentally predicted structural data.

In the crystal, each molecule of the complex interacts with two other neighboring molecules via hydrogen bonding established between the two chlorine atoms coordinated to the zinc ion and, respectively two hydrogen atoms, one belonging to a pyridyl ring (H5) and the hydrogen (H6) of the imine fragment (C-H···Cl:H5···Cl1 2.83 Å, H6···Cl2 2.73 Å). These interactions lead to the formation of 2D supramolecular layers parallel with the *bc* crystallographic plane (Figure 2).

Within the layer, the molecules stack in parallel infinite columns with an offset head to head arrangement due to $\pi - \pi$ interactions involving, two by two, the aromatic rings from adjacent molecules (centroid…centroid: A…B 3.64 Å, B…C 3.69 Å). Such a column is highlighted in red (Figure 2a) and the supramolecular interactions between the neighboring molecules are represented in detail in Figure 2b.

UV–visible Spectroscopy. The electronic absorption spectrum of the ligand 1 was recorded in dichloromethane solution ($\sim 2 \times 10^{-5}$ M at room temperature; black line in Figure 3). This ligand exhibits two strong electronic absorption bands at $\lambda = 266$ and 438 nm which are assigned to the $\pi \rightarrow \pi^*$

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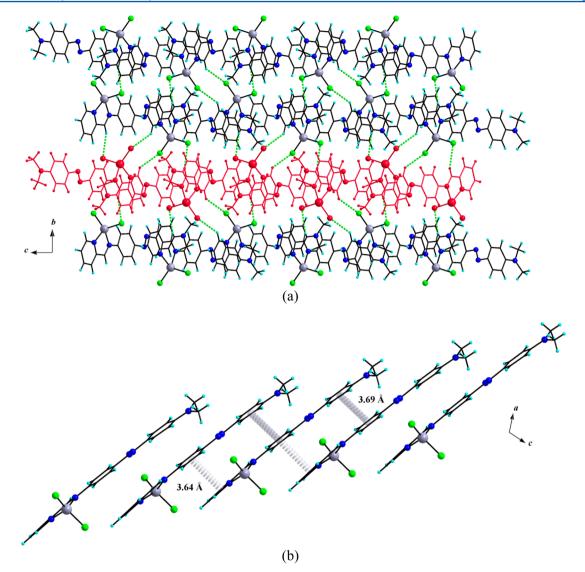


Figure 2. Perspective of the 2D supramolecular sheet generated by hydrogen bonding with an infinite column generated via $\pi - \pi$ stacking interactions between the aromatic rings of the ligands highlighted in red (a) and detailed in (b).

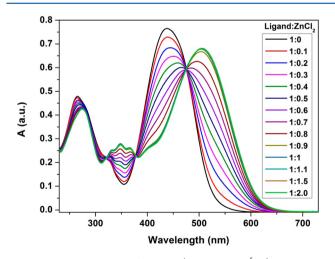


Figure 3. UV–vis titration of ligand 1 ($C = 2.5 \times 10^{-5}$ M) by ZnCl₂ in a mixture of dichloromethane/acetonitrile, 1/1.

and $n \rightarrow \pi^*$ absorption bands of the azobenzene moiety and the pyridyl ring together with a slight intramolecular charge transfer

(ICT) from the dimethylamino donor group to the iminopyridine accepting unit. 26,38 In order to confirm the presence in solution of a single zinc complex specie, UV-visible electronic absorption titration was performed by adding increasing amounts of a zinc chloride into a CH₂Cl₂ solution of the ligand 1 (Figure 3). These additions result in a decrease of the band located at 266 nm and the appearance of the new band around 348 nm which corresponds to a ligand centered (LC, $\pi \rightarrow \pi^*$ and $n \rightarrow \pi^*$) absorption and is probably due to conformational change of the ligand after complexation with zinc chloride. In addition, a significant bathochromic shift of the band in the visible region is observed. This shift is indicating an increase of the electron acceptor behavior of ligand 1 upon complexation with zinc chloride which acts as strong Lewis acid. The presence of three isosbestic points at 322, 377, and 475 nm clearly indicates the formation of single zinc complex species. Note that no additional absorption changes were observed upon introduction of more than 1 equiv of $ZnCl_{2}$, as expected for the formation of 1:1 complex.

During the geometry structure optimization of ligand 1 by HF/6-31G method, two equivalent structures were obtained, namely, 1a and 1b (Figure 4). The total energy of 1a and 1b

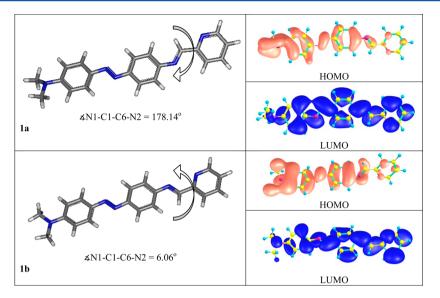


Figure 4. Two conformational structures of ligand 1 and the visualization of HOMO of 1a and LUMO of 1b conformations.

molecules is -1040.168 and -1040.172 hartree, respectively. These values suggest that the conformation **1a** is more favorable although the environmental impact, like the presence of a light source or solvent molecules, may change the molecular conformation of the state **1b**. The variation of energy with the value of the torsional angle N1-C1-C6-N2 is shown in Figure 5. The represented data were calculated by molecular

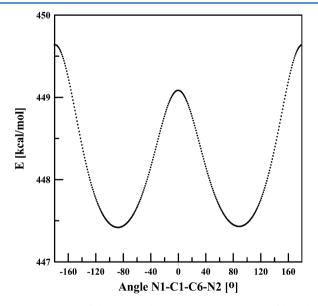


Figure 5. Energy of the torsional angle N1-C1-C6-N2 of ligand 1 computed by the molecular mechanics method using CVFF force field.

mechanics methodology using CVFF force field. The energies of the angle N1–C1–C6–N2 corresponding to the values of 6.06° and 178.14° are equal to 449.05 and 449.63 kcal/mol, respectively. One may see that the torsional angle N1–C1– C6–N2 present in conformations 1a and 1b has very similar energy. The potential barrier needed to overcome in the transition from conformation 1a to conformation 1b is equal to 1.82 kcal/mol (i.e., 0.08 eV), meaning that the two conformers may appear in the solvent used during the UV–visible absorption experiment (Figure 3).

Following the analysis of the structural results for ligand 1, the UV-visible absorption spectra were calculated for both conformers 1a and 1b. The HOMO and LUMO orbitals for both conformers were computed and the results are represented in Figure 4. The HOMO orbitals for both conformations 1a and 1b are located on the dimetylamino group which has a donor character. The iminopyridine group plays the role of acceptor and the LUMO orbitals are predominantly located on its atoms. Comparing the HOMO and LUMO orbitals obtained for the conformers 1a and 1b, one may say that the strongest charge transfer could occur for the electron transfer from HOMO of 1a to the LUMO of 1b. This phenomenon may occur when molecule 1 changes configuration from 1a to 1b state during light absorption; conformational change is highly probable based on the calculated values of the energy corresponding to the N1-C1–C6–N2 torsion angle mentioned above.

The calculated UV—visible absorption spectra for conformers **1a** and **1b** are shown in Figure 6. The two conformers present two absorption peaks, one at the position 440 nm and the second one around 365 nm. When these spectra are compared with the experimental data (Figure 3), the first absorption peak fits very well (a small shift can be due to solvent effect). The peak at 440 nm is red-shifted as compared with the experimental data by about 100 nm, and this significant change is probably due to some intermolecular interactions which are not taken into account in the performed computer simulations. By analysis of the spectra obtained for conformers **1a** and **1b** (Figure 6) the intensity of the first two absorption peaks shows a reverse character, suggesting also that a conformational change occurs during the UV—vis radiation.

Figure 6 clearly indicates the influence of metal complexation of ligand 1 by ZnCl₂. In fact, with complexation, the UV-vis absorption spectra of the zinc complex suffer a bathochromic shift of the two main peaks for both theoretical and experimental data. The significant shift occurring in the visible region can be explained by an increase of the electron acceptor behavior of the ligand upon zinc complexation, also proven by the nature of the LUMO orbital in the zinc complex 2 (Figure 7). Furthermore, the appearance of an additional absorption shoulder is likely due to the conformational change (*trans* to

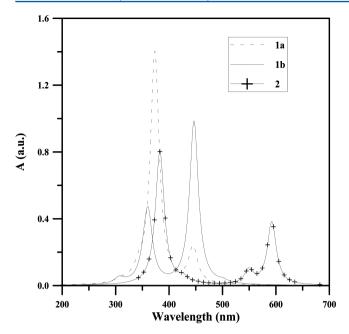


Figure 6. UV–visible absorption spectra computed by B3LYP/6-31++G** methodology.

cis) induced by the chelation of iminopyridine fragment to the zinc(II) ion.

Note that the complexation of ligand 1 with $ZnCl_2$ drastically changes the polarity of the resulting metal complex 2. Indeed, the calculated dipole moment of ligand 1a is equal to 1.08 D while the dipole moment of 2 is 12.17 D. This clearly suggests that complex 2 is an appropriate candidate for NLO applications which can have a large response as compared with the free ligand.

Nonlinear Optical Properties. For the needs of the measurements several different concentrations of each sample have been prepared and put into 1-mm-thick cuvettes in order to be studied. The solvent (acetonitrile) has been separately measured and its contribution has been taken into account during the analysis of the experimental data. Different ranges of concentrations have been used in the case of ligand 1 and complex 2, which have been chosen by taking into account the magnitude of the nonlinearity as well as the linear absorption at the laser excitation wavelength (532 nm). In this sense the concentration in the case of ligand 1 has been varied between 1 and 2.5 mM, while in the case of complex 2, which was found to have much stronger nonlinear optical response, significantly lower concentrations have been used (0.01-0.1 mM). In this way, high signal-to-noise ratios were obtained in the case of the ligand, and the saturation limits for the nonlinearity were not reached in the case of the complex. In all cases, eq 5 was employed to determine the second order hyperpolarizabilities,

taking into account the different concentrations that have been used. In Figure 8 are represented the characteristic divided and

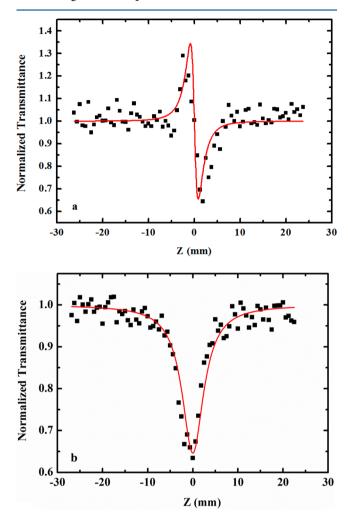


Figure 8. "Divided" (a) and "open" (b) aperture Z-scans corresponding to a 2.5 mM concentration of the ligand in acetonitrile, both obtained at $E = 0.8 \ \mu$ J, $\lambda = 532 \ nm$.

open Z-scan curves, as well as the theoretical fits of the ligand (2.5 mM in acetonitrile). It is obvious that, in this case, the refraction is of self-defocusing character, as peak valley configuration (Figure 8a) has been found, while in the case of the nonlinear absorption, a dip corresponding to RSA-type behavior (Figure 8b) has been found. Both curves have been obtained for the same concentration and under the same experimental conditions. A dip in the "open" aperture curves has also been found in the case of complex 2 (see Figure 9a). On the contrary, concerning the nonlinear refraction of the zinc complex, a valley—peak has been found as can be seen in Figure

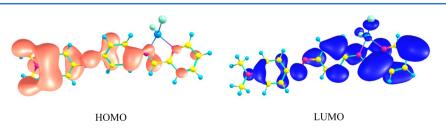


Figure 7. Visualization of the HOMO and LUMO orbitals for complex 2 computed by HF/6-31++G** methodology.

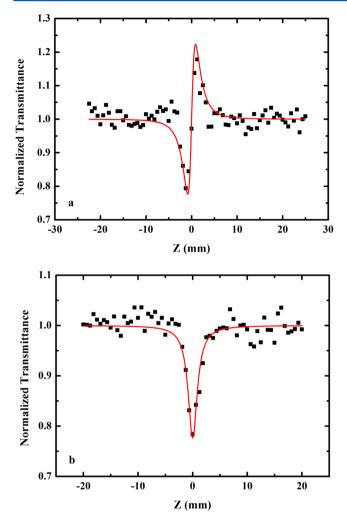


Figure 9. "Divided" (a) and "open" (b) aperture Z-scans corresponding to a 0.03 mM concentration of the complex in acetonitrile ($E = 1.7 \mu$ J, $\lambda = 532 \text{ nm}$).

9b. This clearly indicates that a change of the nonlinear refraction character from self-defocusing to self-focusing happens upon complexation of the ligand to the Zn(II) cation. Moreover, a dramatic increase of both nonlinear refraction and nonlinear absorption in the case of complex 2 has been observed with respect to those of the free ligand 1. As an example, we mention that the real and imaginary parts of the third order susceptibility corresponding to a 2.5 mM concentration of the ligand in acetonitrile have been found to be Re $\chi^{(3)} = -(8.73 \pm 1.5) \times 10^{-13}$ esu and Im $\chi^{(3)} = (9.78 \pm 1.5) \times 10^{-13}$ 2.00) \times 10⁻¹³ esu. These values have been obtained from several experimental curves corresponding to different laser energies (two characteristic curves have been presented in Figure 8). In the case of a 0.03 mM solution of zinc complex 2, more precisely, a concentration more diluted with almost 2 orders of magnitude than that of the free ligand 1, the real and imaginary parts of the third order susceptibility have been determined to be Re $\chi^{(3)} = (0.52 \pm 0.07) \times 10^{-13}$ esu and Im $\chi^{(3)} = (3.28 \pm 0.70) \times 10^{-13}$ esu.

Then, the second order hyperpolarizabilities have been determined according to eq 5. In Table 3 we present the real and the imaginary parts of the second order hyperpolarizability. These values directly show different efficiency between the ligand and its complex. The real and imaginary parts of γ show

Table 3. Nonlinear Optical Parameters of the Two Molecular Systems (532 nm, 30 ps)

sample	Im γ (10 ⁻³⁰ esu)	Re γ (10 ⁻³⁰ esu)	$\gamma \ (10^{-30} \ esu)$
Ligand	0.24 ± 0.04	-0.23 ± 0.03	0.33 ± 0.05
Complex	3.09 ± 0.16	1.20 ± 0.40	3.32 ± 0.43

an increase of about 13 and 5 times, respectively, in the case of the zinc complex **2** compared with the free ligand, resulting in a significant enhancement of the total hyperpolarizability γ of about 1 order of magnitude. This NLO response enhancement can be explained through the theoretical calculations performed. In fact, the dipole moment calculations indicate a much higher dipole moment for the zinc complex (12.17 D) as compared with the one of the free ligand (1.08 D). In addition, the HOMO–LUMO frontier orbitals indicate a stronger donor–acceptor behavior in complex **2**, which is most likely caused by the Lewis acid character of ZnCl₂ fragment.

4. CONCLUSION

During this work we have prepared a multifunctional ligand based on azobenzene as photoactive unit and the iminopyridine as a coordinating unit. The reaction of this ligand with 1 equiv of zinc chloride afforded the complex Azo-Imino-PyZnCl₂. The X-ray structure of this complex indicates a tetrahedral geometry around the zinc atom and 2D supramolecular organization is observed in the crystal. The nonlinear absorption properties of the ligand as well as of the zinc complex have been investigated. A dramatic increase of both nonlinear refraction and nonlinear absorption in the case of complex 2 is observed in comparison with the free ligand 1. In fact, the real and imaginary parts of γ show an increase of about 13 and 5 times, respectively, for complex 2 when compared with the free ligand, resulting in a significant enhancement of the total hyperpolarizability γ of about 1 order of magnitude. This is likely due to a large dipole moment and stronger donor-acceptor behavior in the case of complex 2 as evidenced by DFT/B3LYP calculations. These results show that the covalent binding of an azobenzene moiety to a metal complex affords various useful characteristics such as modulation of the NLO response. In fact, the large variation of the NLO response upon metal complexation is an important phenomenon which opens new prospects for both photonic and opto-electronic applications.

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Notes

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The Journal of Physical Chemistry C

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