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The isotropic remnant of the CO_2 near-fully depolarized Raman $2v_3$ overtone

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In a recent paper [M. Chrysos, I. A. Verzhbitskiy, F. Rachet, and A. P. Kouzov, J. Chem. Phys. **134**, 044318 (2011)], we showed that, in CO₂, the $2\nu_3$ transition generates a Raman line spectrum that is 98% depolarized, a property in agreement with general symmetry rules. Here, we present an extensive analysis, experimental and theoretical, of the isotropic remnant of this overtone. The isotropic spectrum turned out to be 45 times less intense than its anisotropic counterpart and to have a moment that is 350 times smaller than the moment of the anisotropic spectrum, in excellent agreement with theoretical predictions. Once the measured intensity (along with other data exclusively experimental) was fed back into the formula of the moment, a value for the CO₂ mean-polarizability asymmetric stretch derivative $\partial^2 \bar{\alpha} / \partial q_3^2$ was returned that matches the best *ab initio* prediction to better than 4%. Agreement, in order of magnitude, was found between the intensity reported herein and that reported in the sole prior study of this overtone [G. Tejeda, B. Maté, and S. Montero, J. Chem. Phys. **103**, 568 (1995)]. © 2011 American Institute of Physics. [doi:10.1063/1.3557820]

I. INTRODUCTION

In the jargon of spectroscopy, overtones are line spectra which, in a way analogous to sound waves, are generated at frequencies that are multiples of the fundamental frequency. In the harmonic approximation it can be shown that overtones are forbidden in both infrared and Raman spectra. However, when anharmonicity is taken into account the transitions are weakly allowed.¹ Overtones have been studied with Raman spectroscopy, but little, even though spectroscopists have long been aware of their occurrence. The reason is most probably the extreme feebleness of their signals, whose intensity can be millions of times weaker than that of the corresponding fundamental transitions. To give an idea of how small the intensity of an overtone can be, as compared to the fundamental transition, consider this: in methane, the signal of the v_1 stretch vibration, recorded by our Raman experiment, was 70, 000, 000 counts per second, but for the $2\nu_1$ overtone of methane the recorded signal was only 20 counts per second. Nevertheless, this tiny signal was equally reliable and the statistical error negligibly small, while systematic errors were kept low amounting to a few percent only.

Overtones in Raman spectra have been examined only via high-resolution techniques so far. Starting in the 1950s with the pioneering works of Stoicheff,^{2–5} the degree of development of high-resolution experiments has witnessed rapid growth in the last fifty years. Since then, many technological innovations were accomplished that allowed scientists to extensively investigate the CO₂ doublet^{6–10} $v_1 : 2v_2^0$ and triplet¹⁰ $2v_1 : 4v_2^0 : v_1 + 2v_2^0$ Fermi resonances, and (to a much lesser extent) its $2v_3$ overtone.¹⁰

The central aim of high-resolution spectroscopy is the measurement of very accurate rotational constants in nonpolar molecules, mainly for the purpose of determining molecular geometries and bond lengths. High-resolution experiments allow for measurements at resolutions of $\sim 10^{-3}$ cm⁻¹, so they present a clear advantage over typical incoherent Raman experiments. Although this is an unequalled level of precision and can by no means be compared to the resolutions of our experiment, which hardly attain $\simeq 0.5$ cm⁻¹, in our equipment all the effort is put into sensitivity. As has repeatedly been stressed,¹¹⁻¹⁴ our experiment has been optimized especially for the detection and processing of signals as low as only few photoelectrons per week. Such a high performance mainly intends to deepen the understanding of collision-induced light scattering processes, focusing especially on relevant far wings of spectra. We recall that the latter processes arise from the loose intermolecular interactions in ensembles of molecules (gases), so they give rise to weak band spectra; this is to be contrasted with the standard Raman processes, which are processes due mainly to intramolecular interactions.^{15, 16} It is Welsh¹⁷ who first revolutionized the area of collision-induced processes, with the observation of forbidden absorption line spectra in compressed oxygen. Since then, the area saw a tremendous boost, with an almost interminable list of contributions by distinguished scientists (see, for instance, Frommhold's or Tabisz's classic treatises,18-20 and references therein, or some selected papers in chronological order²¹⁻²⁵), and more recently with experimental works by our group.11-14,26,27

In a very recent publication,²⁸ we showed that $2\nu_3$ is a near fully depolarized overtone, with an integrated depolarization ratio η_{int} amounting to 6/7.16, in agreement with Atkins' symmetry rule.²⁹ This is what came out of a

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careful experimental analysis of gaseous carbon dioxide at room temperature (T = 294.5 K), under various conditions of gas pressure. Quantitative agreement with spectral moment theory was found after incorporating electro-optical and mechanical anharmonicity effects and intermode couplings involving symmetrical and asymmetrical stretching vibrations.

In the present article, we turn our attention to the isotropic component of this band, under the same conditions of temperature and pressure. A quick look at elementary spectral theory shows that this spectrum should be extremely weak, a result attested immediately after the simple formula

$$S^{\rm iso} = S_\perp - \frac{7}{6} S_\parallel \tag{1}$$

along with the definition $\eta = S_{\parallel}/S_{\perp}$ for the depolarization ratio. (Here, S_{\perp} and S_{\parallel} refer to scattering signals, calibrated in absolute units of cm³ amagat, for a polarization of the incident beam that is perpendicular and parallel to the scattering plane, respectively.) In fact, the zeroth-order moment for the isotropic spectrum is readily expressed as a function of the corresponding anisotropic moment through the expression³⁰

$$M_0^{\rm iso} = \frac{2}{15} \left(\eta_{\rm int}^{-1} - \frac{7}{6} \right) M_0^{\rm ani},\tag{2}$$

where η_{int} is the integrated depolarization ratio. According to our recent study,²⁸ $M_0^{\text{ani}} = 3.2 \times 10^{-3}$ a.u. and $\eta_{\text{int}} = 6/7.16$, so Eqs. (1) and (2) result in $M_0^{\text{iso}} = 1 \times 10^{-5}$ a.u., which is a value about 300 times smaller than the value of M_0^{ani} . Although tiny, the value of M_0^{iso} is evidence for the existence of an isotropic spectrum.

In what follows, the isotropic spectrum is extracted from accurate components for the parallel and perpendicular incident field polarization. The results are compared with theoretical predictions developed also herein, which, once again, take into account electro-optical and mechanical anharmonicity and intermode coupling between the two stretching vibrations v_1 and v_3 . Comparison is made with the only existing study on this subject, published fifteen years ago by Tejeda, Maté, and Montero.¹⁰ Their value for the integrated intensity is in agreement with our value as regards the order of magnitude but differs by a factor of 3.

II. EXPERIMENT

A. The polarization components

The experiment was carried out using our sensitive scattering equipment. An exhaustive description of this equipment can be found elsewhere.¹² Technical information about the specific experiment on $2\nu_3$ has been given in our preceding article²⁸ and will be left out of the present one. The center of the $2\nu_3$ overtone was seen at 4673 cm⁻¹ and the band was recorded over a wide frequency interval, ranging from 4515 to 4810 cm⁻¹.

Two independent scattering signal components, S_{\parallel} and S_{\perp} , were recorded as a function of Raman shift ν , depending on whether the electric field of the incident beam was polarized in the direction parallel or perpendicular to the scattering plane. Then, the signals were calibrated and expressed in absolute units (cm³ amagat). Figures 1 and 2 illustrate S_{\parallel} and S_{\perp}



FIG. 1. Absolute-calibrated signal component S_{\parallel} (in units of cm³ amagat) as a function of frequency shift ν (in units of cm⁻¹), for values of gas density ranging from 5 to 55 amagat (in the upward direction). The temperature is 294.5 K.

(in units of cm³ amagat) as a function of ν (in units of cm⁻¹), respectively, for several values of gas density ranging from 5 to 55 amagat. The small dispersion of the points is a measure of the quality of the measurements. By contrast with the rotational structure, which is visible clearly only at the lower gas densities, the pronounced structure of the three O, Q, and S branches is seen to persist at any density and in both spectra.

B. The isotropic spectrum

The calibrated isotropic spectrum S^{iso} was deduced from the two recorded components S_{\parallel} and S_{\perp} , by means of the expression

$$S^{\rm iso} = 1.017S_{\perp} - 1.184S_{\parallel}.$$
 (3)

This expression accounts for the finite aperture of the scattered beam, in replacement of the ideal zero-aperture expression of Eq. (1).





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FIG. 3. Absolute-calibrated isotropic spectrum S^{iso} (in units of cm³ amagat) as a function of Raman frequency shift ν (in units of cm⁻¹), for a gas density of 10, 30, and 50 amagat (in the upward direction). The temperature is 294.5 K.

Figure 3 shows S^{iso} , in units of cm³ amagat, as a function of Raman frequency shift (in units of cm⁻¹) for three representative values of CO₂ density, i.e., 10, 30, and 50 amagat. By contrast with the individual components S_{\parallel} and S_{\perp} , and with the depolarized spectrum (which is essentially the S_{\parallel} component alone), the isotropic spectrum S^{iso} has neither O nor S branch. A well-defined Q branch is seen, whose integrated intensity turned out to scale with gas density strictly linearly. The rotational structure of the Q branch was not perceptible even at the lower gas densities.

To make sure that the recorded spectrum was indeed due to transitions by single molecules, a rigorous study protocol was established, enabling us to determine the exact way in which the gas density, ρ , affects the integrated signals $(S_{\parallel})_{int}$ and $(S_{\perp})_{int}$. According to the virial expansion, both these signals can be written as power series of ρ , whatever the conditions of gas pressure or temperature, so transitions that occur in single molecules generate spectra that scale linearly with ρ . Figure 4 illustrates $(S_{\parallel})_{int}$ and $(S_{\perp})_{int}$ as a function of ρ . As seen in this figure, a behavior that is strictly linear is observed over the entire range of variation in ρ . This is compelling evidence that the isotropic spectrum indeed comes from isolated molecules alone. The slope of the two lines defines the corresponding scattering cross sections at the zero-density limit.

C. The spectral moment

The zeroth-order isotropic moment was calculated by integrating $I^{\text{iso}}(=1.017I_{\perp}-1.184I_{\parallel})$, where $I_{\parallel,\perp} = S_{\parallel,\perp}/\rho$, by means of the formula

$$M_0^{\rm iso} = [2\pi(\nu_0 - \nu_s)]^{-4} \int_{-\infty}^{+\infty} I^{\rm iso}(\nu) d\nu.$$
 (4)

In the latter expression, v_0 denotes the laser wavenumber and v_s the wavenumber at the center of the recorded band. The result is in a_0^6 units (a_0 is the Bohr radius).



FIG. 4. Frequency-integrated spectra $(S_{\parallel,\perp})_{int}$ (in units of cm² amagat) as a function of gas density ρ (in amagat units). The temperature is 294.5 K. The strictly linear behavior in both spectra shows that what we observed was indeed signals by isolated CO₂ molecules.

We obtain the value $M_0^{iso}(expt) = 9.1(6) \times 10^{-6} a_0^6$. This value is very close to the rough prediction of $1 \times 10^{-5} a_0^6$ that was made in the opening section of this article. In what follows, zeroth-order spectral moment theory is used to analyze the spectrum and to interpret the experiment. To this end, intermode coupling, mechanical and electro-optical anharmonicity, and high-quality *ab initio* data for the polarizability derivatives had to be considered.

III. THEORY

A. The transition matrix element

The polarizability tensor, $\hat{\alpha}$, depends on nuclear coordinates and thus on normal coordinates q_k , q_l Any of its components is a function of the latter coordinates, so it can be expanded into a Taylor series around the equilibrium position "e".³¹ Upon using this expansion along with perturbation theory and then retaining terms up to the second order in $q_k q_l$..., the transition matrix element of the polarizability's mean component, $\bar{\alpha} = \frac{1}{3}Tr\hat{\alpha}$, reads³²

Symbols v_l , v_j , and v_i stand for vibrational quantum numbers of modes l, j, and i. Quantities $\bar{\alpha}'_i$ $(= \partial \bar{\alpha}/\partial q_i)$ and $\bar{\alpha}''_{ii}(= \partial^2 \bar{\alpha}/\partial q_i^2)$ denote first and second derivatives with respect to normal coordinate q_i , respectively. ω_i is the fundamental frequency of normal vibration i, k_{iii} denotes the cubic force constant of i, and k_{iik} couples the vibrations i and k. The sum at the rhs of Eq. (5) is over all the fundamental vibrations. According to Eq. (5), the transition amplitude depends on the second derivative $\bar{\alpha}''_{ii}$ (electro-optical anharmonicity) and, because of anharmonic effects, on first derivatives $\bar{\alpha}'_k$ ($k \neq i$) too.

In the specific case of the $2\nu_3$ overtone, the only characteristics of relevance are the ones with i = 3 and k = 1 and the normal coordinates $q_1 (\in \Sigma_g^+)$ and $q_3 (\in \Sigma_u^+)$. By reasons of molecular symmetry, all first derivatives of $\bar{\alpha}$, except $\bar{\alpha}'_1$, are dropped in Eq. (5), so $\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle$ reads

$$\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle = \frac{1}{\sqrt{2}} \left(\frac{1}{2} \bar{\alpha}_{33}^{"} + \bar{\alpha}_1^{'} k_{331} \frac{\omega_1}{4\omega_3^2 - \omega_1^2} \right).$$
(6)

B. The normal coordinates

1. The mode v_1

The symmetrical vibration of CO₂ can be described in a way similar to the vibration of a diatomic molecule with bond length r = 2R and reduced mass $\mu = m_0/2$, where m_0 is the atomic mass of oxygen. At the equilibrium separation, the bond length is $r = r_e (= 2R_e)$, where $R_e (= R_{c-0}$ $= 2.192a_0)^{33}$ is the length of the C–O bond at equilibrium. With these notations, we obtain $q_1 = 2\Delta_1 R \sqrt{\mu\omega_1/\hbar}$, where $\Delta_1 R = R - R_e$. Upon use of the definition of the rotational constant $B = \hbar^2/2\mu r_e^2$, we obtain

$$\Delta_1 R = R_e q_1 \sqrt{\frac{2B}{\hbar\omega_1}}.$$
(7)

2. The mode v₃

For asymmetrical stretching, the normal coordinate is $\Delta_3 R = R_1 - R_2$ ³³, where R_1 and R_2 denote the elongations of the two C–O bonds.

According to Ref. 34, the quantum coordinate q_3 reads

$$q_3 = \frac{R_1 - R_2}{2b} = \frac{\Delta_3 R}{2b},$$
 (8)

where b = 0.0401 Å = 7.5778 × $10^{-2}a_0$.

C. The polarizability derivatives

1. The mode v_1

In the case of the symmetrical vibration, coupled cluster calculations with single, double and partially triple excitations [CCSD(T)], done by Maroulis with a carefully designed basis set,³⁵ result in a power expansion of the mean polarizability $\bar{\alpha}$ with the linear term equal to

$$\bar{\alpha}(R) - \bar{\alpha}(R_e) \approx 13.81 \Delta_1 R. \tag{9}$$

With the help of Eq. (7), one gets

$$\bar{\alpha}_1' \approx 0.7254 \text{ a.u.}$$
 (10)

We point out that this $\bar{\alpha}'_1$ value, predicted *ab initio* by Maroulis,³⁵ is very close to the measured value $\bar{\alpha}'_1$ = 12.43(7) × 10⁻⁴² CV⁻¹m² = 0.754(4) a.u. (Ref. 36) reported by Tejeda *et al.*¹⁰

TABLE I. Input data needed in Eq. (6). Polarizability derivatives are in atomic units (a.u.); vibrational frequencies and couplings are in cm^{-1} .

ω_1	ω_3	\bar{lpha}_1'	$\bar{lpha}_{33}^{\prime\prime}$	k ₃₃₁
1353.8	2396.5	0.754 ^a 0.725 ^d	0.0156 ^b	-256.3579°

^aExpt., Ref. 10. ^bCCSD(T), Ref. 33.

^cReference 37.

^dCCSD(T), Ref. 35.

2. The mode v_3

In the case of the asymmetrical vibration, CCSD(T) calculations³³ provide

$$\bar{\alpha}(\Delta_3 R) - \bar{\alpha}(0) \approx 0.34 (\Delta_3 R)^2, \tag{11}$$

so the second derivative of the mean polarizability is

$$\bar{\alpha}_{33}^{\prime\prime} \approx 0.0156 \text{ a.u.}$$
 (12)

Table I gathers all the input data that were needed to calculate the transition matrix element of Eq. (6). Polarizability derivatives are given in atomic units (a.u.); vibrational frequencies for the two modes and their coupling are in cm^{-1} .

D. The spectral moment

Upon use of Eq. (6) and of the *ab initio* parameter values of Table I, $\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle$ reads

$$\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle = \frac{1}{\sqrt{2}} (7.8095 \times 10^{-3} - 11.909 \times 10^{-3})$$

= -2.899 × 10⁻³ a.u.

Obviously, the sign of the intermode coupling is opposite to that of the $\frac{1}{2}\bar{\alpha}_{33}^{"}$ term and its magnitude exceeds by 50% the magnitude of $\frac{1}{2}\bar{\alpha}_{33}^{"}$. It is precisely this subtle interplay between the bare $\frac{1}{2}\bar{\alpha}_{33}^{"}$ term and the "coupling correction" that governs the isotropic scattering process and determines S^{iso} . Any absence of consideration of this coupling would draw meaningless conclusions.

Using the expression³⁸ $M_0^{\text{iso}} = |\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle|^2$, we obtain

$$M_0^{\rm iso}({\rm th}) = 8.4 \times 10^{-6} a_0^6.$$

This theoretical value (th) matches our measured one $[M_0^{iso}(expt) = 9.1(6) \times 10^{-6} a_0^6]$ to better than 8%.

Table II gathers our moment values, along with the value measured and reported in the sole prior work of this overtone. For comparison, moment values for the anisotropic spectrum are also shown.

E. The second polarizability derivative

In this paragraph, we intend to deduce a value for the property $\partial^2 \bar{\alpha} / \partial q_3^2$ from data that are exclusively experimental. To do this, we will now proceed in the reverse order: Upon

TABLE II. Moment values obtained experimentally and theoretically for the isotropic spectrum. The values for the anisotropic spectrum are shown for comparison.

	Theory	expt
$\overline{M_0^{\rm iso}}(\times 10^{-6} \text{ a.u.})$	8.4 ^a	9.1(6) ^a 30(10) ^b
$M_0^{\rm ani}(\times 10^{-3} \text{ a.u.})$	3.53°	3.20(15)

^aThis work.

^bReference 10. For unit conversion, see Ref. 39.

^cReference 28.

assuming $\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle = \pm \sqrt{M_0^{\text{iso}}(\text{expt})}$ and feeding the resulting values back into Eq. (6), we obtain

$$\bar{\alpha}_{33}^{\prime\prime} = 2\left(\pm\sqrt{2M_0^{\rm iso}({\rm expt})} - \bar{\alpha}_1^{\prime}k_{331}\frac{\omega_1}{4\omega_3^2 - \omega_1^2}\right).$$
(13)

Then, by employing the experimental value¹⁰ for the parameter $\bar{\alpha}'_1$ (see Table I), we get

$$\bar{\alpha}_{33}^{\prime\prime} = \begin{cases} 0.0333 \text{ a.u.} \\ 0.0162 \text{ a.u.} \end{cases}$$

with the upper (lower) value corresponding to the + (-) sign of $\pm \sqrt{M_0^{\text{iso}}(\text{expt})}$.

Interestingly, the lower value shown above matches the *ab initio* value³³ of Table I to better than 4%. This accuracy exceeds typical accuracies for second polarizability derivatives, which are often inaccurate by a factor of 2.40

Table III gathers values for $\bar{\alpha}_{33}''$ that we obtained with use of data exclusively experimental, by means of Eq. (13) and of the assumption $\langle 00^0 2 | \bar{\alpha} | 00^0 0 \rangle = \pm \sqrt{M_0^{\rm iso}(\text{expt})}$. As input values for $M_0^{\rm iso}(\text{expt})$, the value measured by Tejeda *et al.*¹⁰ was used as well as our own measurement. For the sake of comparison, the *ab initio* value is also shown.³³ Upper entries correspond to the spurious solution of the equation $x^2 - M_0^{\rm iso}(\text{expt}) = 0$.

Whereas the value 0.0162(3) agrees to better than 4% with the *ab initio* value of Maroulis, disagreement by more than 70% is found between the value 0.0091(2) and the *ab initio* prediction.

A practical means of quantifying the relative importance of the intermode coupling in $2\nu_3$ is to measure the degree of deviation between the two ratios $\chi^{no} = |\beta_{33}''/\bar{\alpha}_{33}''|$ and χ^{on}

TABLE III. Values for $\bar{\alpha}_{33}^{"}$ obtained upon use of Eq. (13) and of data that are exclusively experimental. For $M_0^{\rm iso}(\text{expt})$, use of our measurement and of the measurement reported by Tejeda *et al.* was made. The *ab initio* value is shown for comparison³³. The entries in the upper row are spurious solutions.

(a.u.)	expt ^a	expt ^b	CCSD(T) ^c
	0.0404(2)	0.0333(3)	
$\bar{\alpha}_{33}^{\prime\prime}$	0.0091(2)	0.0162(3)	0.0156

^bThis work.

 $=\sqrt{M_0^{\text{ani}}(\text{expt})/M_0^{\text{iso}}(\text{expt})}$. (The superfix "on" denotes that the intermode coupling was switched on in the calculation; "no" denotes that no intermode coupling was considered.) Whereas in the absence of coupling between the modes v_1 and v_3 both those quotients should equal $|\langle 00^02|\beta|00^00\rangle/\langle 00^02|\bar{\alpha}|00^00\rangle|$, here, two strikingly different values were obtained: $\chi^{\text{no}} = 8.6$, $\chi^{\text{on}} = 19$. The last value is particularly large, a result that evidences how strong intermode effects are for this band.

The sole fact that $\chi \gg 1$ is evidence enough that the band is strongly depolarized. Yet, the value of $\eta_{int}[=6\chi^2(7\chi^2 + 45)^{-1}]$ was greatly enhanced upon inclusion of the intermode coupling, changing from $\eta_{int}^{no} = 0.79$ to $\eta_{int}^{on} = 0.84$. Undoubtedly, accounting for this coupling is getting a notch closer to the upper bound for the depolarization ratio ($\eta_{max} = 6/7$), in striking agreement with our experiment (see also Fig. 3 in Ref. 28).

IV. SYNOPSIS

This article reports and analyzes the isotropic Raman spectrum of the $2\nu_3$ overtone band of CO₂. The measurements were taken with a high-sensitivity incoherent Raman scattering equipment, in the frequency region $4500-4800 \text{ cm}^{-1}$, for two orthogonal field-polarization arrangements of the incident beam. High purity gas samples were used, at T = 294.5K, and at densities ranging from 5 to 55 amagat. As was borne out from our measurements, the moment of the isotropic spectrum is 350 times smaller than that of its anisotropic counterpart²⁸ and its integrated intensity 45 times smaller than the integrated intensity of that spectrum. This finding is in excellent agreement with theoretical predictions, provided that mechanical or electro-optical anharmonicity and couplings were incorporated via measurements¹⁰ or *ab initio* data, ^{33, 35} and that the heavy mixing between v_1 and v_3 modes was considered properly to account for the subtle interplay between competing contributions. Once fed back into the formulas, the measured intensity turned out to generate a value for the mean-polarizability second stretch derivative, $\partial^2 \bar{\alpha} / \partial q_3^2$, that matches the best *ab initio* prediction³³ to better than 4%.

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- ³⁰Proof: $M_0^{\text{iso}}/(\frac{2}{15}M_0^{\text{ani}}) = \int_{-\infty}^{\infty} S^{\text{iso}} d\nu / \int_{-\infty}^{\infty} S^{\text{ani}} d\nu = \int_{-\infty}^{\infty} (S_{\perp} \frac{7}{6}S_{\parallel}) d\nu / \int_{-\infty}^{\infty} S_{\parallel} d\nu = \int_{-\infty}^{\infty} S_{\perp} d\nu / \int_{-\infty}^{\infty} S_{\parallel} d\nu \frac{7}{6} = \eta_{int}^{-1} \frac{7}{6}.$
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- ⁴⁰It has also been pointed out by Tejeda *et al.* that *the accuracy of sec-ond derivatives very much depends on each particular case, ranging from* 10% *to* 100% (their words) (Ref. 10, Introduction section).